Introduction to the Calculus of Variations

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the determinant

L² regularity

Regularity for Harmonic

Introduction to the Calculus of Variations: Lecture 20

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Department of Mathematics Indian Institute of Science

Spring Semester 2021

Outline

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Proposition

Let n = N = 2.

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$



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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Then f is quasiaffine.

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Then f is quasiaffine. **Proof.** For any $\xi \in \mathbb{R}^{2 \times 2}$,

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Then f is quasiaffine.

Proof. For any $\xi \in \mathbb{R}^{2 \times 2}$, for any bounded open set $D \subset \mathbb{R}^2$

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Then f is quasiaffine.

Proof. For any $\xi \in \mathbb{R}^{2 \times 2}$, for any bounded open set $D \subset \mathbb{R}^2$ and any $\phi \in W_0^{1,\infty}(D; \mathbb{R}^2)$,

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Then f is quasiaffine.

Proof. For any $\xi \in \mathbb{R}^{2 \times 2}$, for any bounded open set $D \subset \mathbb{R}^2$ and any $\phi \in W_0^{1,\infty}(D; \mathbb{R}^2)$, we have,

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 $f(\xi) = \det \xi.$

Then f is quasiaffine.

Proof. For any $\xi \in \mathbb{R}^{2 \times 2}$, for any bounded open set $D \subset \mathbb{R}^2$ and any $\phi \in W_0^{1,\infty}(D; \mathbb{R}^2)$, we have,

 $\det (\xi + \nabla \phi)$

$$= \det \begin{pmatrix} \xi_{11} + \frac{\partial \phi^1}{\partial x_1} & \xi_{12} + \frac{\partial \phi^1}{\partial x_2} \\ \xi_{21} + \frac{\partial \phi^2}{\partial x_1} & \xi_{22} + \frac{\partial \phi^2}{\partial x_2} \end{pmatrix}$$

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Proof. For any $\xi \in \mathbb{R}^{2 \times 2}$, for any bounded open set $D \subset \mathbb{R}^2$ and any $\phi \in W_0^{1,\infty}(D; \mathbb{R}^2)$, we have,

$$\det \left(\xi + \nabla\phi\right)$$

$$= \det \begin{pmatrix} \xi_{11} + \frac{\partial\phi^1}{\partial x_1} & \xi_{12} + \frac{\partial\phi^1}{\partial x_2} \\ \xi_{21} + \frac{\partial\phi^2}{\partial x_1} & \xi_{22} + \frac{\partial\phi^2}{\partial x_2} \end{pmatrix}$$

$$= \left(\xi_{11}\xi_{22} - \xi_{12}\xi_{21}\right) + \left(\xi_{11}\frac{\partial\phi^2}{\partial x_2} + \xi_{22}\frac{\partial\phi^1}{\partial x_1} - \xi_{12}\frac{\partial\phi^2}{\partial x_1} - \xi_{21}\frac{\partial\phi^1}{\partial x_2}\right)$$

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Let n = N = 2. Let $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ be defined as

 $f(\xi) = \det \xi.$

Proof. For any $\xi \in \mathbb{R}^{2 \times 2}$, for any bounded open set $D \subset \mathbb{R}^2$ and any $\phi \in W_0^{1,\infty}(D; \mathbb{R}^2)$, we have,

$$\det \left(\xi + \nabla\phi\right)$$

$$= \det \begin{pmatrix} \xi_{11} + \frac{\partial\phi^1}{\partial x_1} & \xi_{12} + \frac{\partial\phi^1}{\partial x_2} \\ \xi_{21} + \frac{\partial\phi^2}{\partial x_1} & \xi_{22} + \frac{\partial\phi^2}{\partial x_2} \end{pmatrix}$$

$$= \left(\xi_{11}\xi_{22} - \xi_{12}\xi_{21}\right) + \left(\xi_{11}\frac{\partial\phi^2}{\partial x_2} + \xi_{22}\frac{\partial\phi^1}{\partial x_1} - \xi_{12}\frac{\partial\phi^2}{\partial x_1} - \xi_{21}\frac{\partial\phi^1}{\partial x_2}\right)$$

$$= \det \xi + \left(\xi_{11}\frac{\partial\phi^2}{\partial x_2} + \xi_{22}\frac{\partial\phi^1}{\partial x_1} - \xi_{12}\frac{\partial\phi^2}{\partial x_1} - \xi_{21}\frac{\partial\phi^1}{\partial x_2}\right).$$

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Note that since ϕ has zero trace on ∂D ,

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} \left(y \right) \, \mathrm{d} y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} \left(y \right) \, \mathrm{d} y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} \left(y \right) \, \mathrm{d} y = 0.$$

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} \left(y \right) \, \mathrm{d} y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} \left(y \right) \, \mathrm{d} y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} \left(y \right) \, \mathrm{d} y = \mathbf{0}.$$

So, we deduce from the earlier computation,

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} (y) \, \mathrm{d}y = 0.$$

So, we deduce from the earlier computation,

$$\int_{D} \det \left(\xi + \nabla \phi \left(y \right) \right) \, \mathrm{d}y = \int_{D} \det \xi \, \mathrm{d}y = |D| \det \xi.$$

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} \left(y \right) \, \mathrm{d} y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} \left(y \right) \, \mathrm{d} y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} \left(y \right) \, \mathrm{d} y = 0.$$

So, we deduce from the earlier computation,

$$\int_{D} \det \left(\xi + \nabla \phi \left(y \right) \right) \, \mathrm{d}y = \int_{D} \det \xi \, \mathrm{d}y = |D| \det \xi.$$

This completes the proof.

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} (y) \, \mathrm{d}y = 0.$$

So, we deduce from the earlier computation,

$$\int_{D} \det \left(\xi + \nabla \phi \left(y \right) \right) \, \mathrm{d}y = \int_{D} \det \xi \, \mathrm{d}y = |D| \det \xi.$$

This completes the proof.

Thus the determinant is both quasiaffine and rank one affine,

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} (y) \, \mathrm{d}y = 0.$$

So, we deduce from the earlier computation,

$$\int_{D} \det \left(\xi + \nabla \phi \left(y \right) \right) \, \mathrm{d}y = \int_{D} \det \xi \, \mathrm{d}y = |D| \det \xi.$$

This completes the proof.

Thus the determinant is both quasiaffine and rank one affine, but neither affine nor convex.

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$$\int_D \xi_{11} \frac{\partial \phi^2}{\partial x_2} (y) \, \mathrm{d} y = 0.$$

Similarly,

$$\int_{D} \xi_{22} \frac{\partial \phi^1}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{12} \frac{\partial \phi^2}{\partial x_1} (y) \, \mathrm{d}y, \int_{D} \xi_{21} \frac{\partial \phi^1}{\partial x_2} (y) \, \mathrm{d}y = 0.$$

So, we deduce from the earlier computation,

$$\int_{D} \det \left(\xi + \nabla \phi \left(y \right) \right) \, \mathrm{d} y = \int_{D} \det \xi \, \mathrm{d} y = |D| \det \xi.$$

This completes the proof.

Thus the determinant is both quasiaffine and rank one affine, but neither affine nor convex. We now introduce another notion of convexity in the vectorial calculus of variations. Introduction to the Calculus of Variations

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Definition (Polyconvexity for n = N = 2) A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex**

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$ such that

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$ such that

 $f(\xi) = F(\xi, \det \xi)$ for all $\xi \in \mathbb{R}^{2 \times 2}$.

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$ such that

 $f\left(\xi\right) = F\left(\xi, \det \xi\right) \qquad \text{for all } \xi \in \mathbb{R}^{2 \times 2}.$

Remark

This is not the general definition of polyconvexity.

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$ such that

 $f(\xi) = F(\xi, \det \xi)$ for all $\xi \in \mathbb{R}^{2 \times 2}$.

Remark

This is not the general definition of polyconvexity. This is what the general definition reduces to in the case n = N = 2.

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$ such that

 $f(\xi) = F(\xi, \det \xi)$ for all $\xi \in \mathbb{R}^{2 \times 2}$.

Remark

This is not the general definition of polyconvexity. This is what the general definition reduces to in the case n = N = 2.

We have already proved the weak continuity of determinants.

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A function $f : \mathbb{R}^{2 \times 2} \to \mathbb{R}$ is called **polyconvex** if there exists a convex function $F : \mathbb{R}^{2 \times 2} \times \mathbb{R} \to \mathbb{R}$ such that

 $f(\xi) = F(\xi, \det \xi)$ for all $\xi \in \mathbb{R}^{2 \times 2}$.

Remark

This is not the general definition of polyconvexity. This is what the general definition reduces to in the case n = N = 2.

We have already proved the weak continuity of determinants. Using that result and the Mazur lemma, we can prove the weak lower semicontinuity of functionals with polyconvex integrands.

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Theorem (wlsc for polyconvex integrands) Let $\Omega \subset \mathbb{R}^2$ be open, bounded and smooth Introduction to the Calculus of Variations

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$$I[u] := \int_{\Omega} F(\nabla u(x), \det \nabla u(x)) \, \mathrm{d}x.$$

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$$I[u] := \int_{\Omega} F(\nabla u(x), \det \nabla u(x)) \, \mathrm{d}x.$$

Let

$$\begin{cases} u_s \rightharpoonup u & \text{ in } W^{1,2}\left(\Omega; \mathbb{R}^2\right), \\ \det \nabla u_s \rightharpoonup \det \nabla u & \text{ in } L^1\left(\Omega\right). \end{cases}$$

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Then, $\liminf_{s\to\infty} I[u_s] \ge I[u]$.

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The integrand need **not be convex** as a function of the gradient variable.

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The integrand need **not be convex** as a function of the gradient variable. Also, if $u_s \rightharpoonup u$ in $W^{1,p}$ for some 2 ,

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Then,
$$\liminf_{s\to\infty} I[u_s] \ge I[u]$$
.

The integrand need **not be convex** as a function of the gradient variable. Also, if $u_s \rightarrow u$ in $W^{1,p}$ for some 2 , both the convergences in the hypothesis above are satisfied and consequently the theorem holds.

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Existence for polyconvex integrands

Theorem (existence for polyconvex integrands) Let $2 \le p < \infty$

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Theorem (existence for polyconvex integrands) Let $2 \le p < \infty$ and let $\Omega \subset \mathbb{R}^2$ be open bounded and smooth.

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Theorem (existence for polyconvex integrands) Let $2 \le p < \infty$ and let $\Omega \subset \mathbb{R}^2$ be open bounded and smooth. Let $u_0 \in W^{1,p}(\Omega; \mathbb{R}^2)$ be given.

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for all
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for some $c_1, c_2 > 0$,

for all
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$$f\left(\xi,\theta\right) \geq \begin{cases} c_1 \left|\xi\right|^2 + c_2 \left|\theta\right|^q & \text{ if } p = 2, \\ c_1 \left|\xi\right|^p & \text{ if } p > 2, \end{cases}$$

for some $c_1, c_2 > 0$, some exponent q > 1.

for all
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for some $c_1, c_2 > 0$, some exponent q > 1. Let

$$I[u] := \int_{\Omega} F(\nabla u(x), \det \nabla u(x)) \, \mathrm{d}x.$$

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$$f\left(\xi,\theta\right) \geq \begin{cases} c_1 \left|\xi\right|^2 + c_2 \left|\theta\right|^q & \text{if } p = 2, \\ c_1 \left|\xi\right|^p & \text{if } p > 2, \end{cases} \quad \text{for all } \xi \in \mathbb{R}^{2 \times 2}, \theta \in \mathbb{R}.$$

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If $I[u_0] < \infty$,

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Regularity for Harmonic functions

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ight|^{2}+c_{2}\left| heta
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for some $c_1, c_2 > 0$, some exponent q > 1. Let

$$I[u] := \int_{\Omega} F(\nabla u(x), \det \nabla u(x)) \, \mathrm{d}x.$$

If $I[u_0] < \infty$, then the following problem

$$\inf\left\{I\left[u\right]:u\in u_{0}+W_{0}^{1,p}\left(\Omega;\mathbb{R}^{2}\right)\right\}=m$$

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for some $c_1, c_2 > 0$, some exponent q > 1. Let

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If $I[u_0] < \infty$, then the following problem

$$\inf\left\{I\left[u\right]:u\in u_{0}+W_{0}^{1,p}\left(\Omega;\mathbb{R}^{2}\right)\right\}=m$$

admits a minimizer.

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Proof. We only show the case p = 2. The other case is much easier.

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Proof. We only show the case p = 2. The other case is much easier. For any minimizing sequence $\{u_s\}_{s>1}$,

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$$\int_{\Omega} \left| \nabla u_{s} \left(x \right) \right|^{2} \, \mathrm{d} x \leq \frac{1}{c_{1}} \left(m + 1 \right)$$

and

$$\int_{\Omega} \left| \det \nabla u_{s} \left(x \right) \right|^{q} \, \mathrm{d}x \leq \frac{1}{c_{2}} \left(m + 1 \right)$$

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$$\int_{\Omega} \left| \nabla u_{s} \left(x \right) \right|^{2} \, \mathrm{d} x \leq \frac{1}{c_{1}} \left(m + 1 \right)$$

and

$$\int_{\Omega}\left|\det \nabla u_{s}\left(x\right)\right|^{q} \ \mathrm{d}x \leq \frac{1}{c_{2}}\left(m+1\right)$$

for all $s \geq 1$.

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for all $s \ge 1$. By Poincaré inequality, the first estimate implies that

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and

$$\int_{\Omega} \left| \det \nabla u_s \left(x \right) \right|^q \, \mathrm{d}x \leq \frac{1}{c_2} \left(m + 1 \right)$$

for all $s\geq 1.$ By Poincaré inequality, the first estimate implies that $\{u_s\}_{s\geq 1}$

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and

$$\int_{\Omega} \left| \det \nabla u_{s} \left(x \right) \right|^{q} \, \mathrm{d} x \leq \frac{1}{c_{2}} \left(m + 1 \right)$$

for all $s \ge 1$. By Poincaré inequality, the first estimate implies that $\{u_s\}_{s>1}$ is uniformly bounded in $W^{1,2}(\Omega; \mathbb{R}^2)$.

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and

$$\int_{\Omega}\left|\det \nabla u_{s}\left(x\right)\right|^{q} \ \mathrm{d}x \leq \frac{1}{c_{2}}\left(m+1\right)$$

for all $s \ge 1$. By Poincaré inequality, the first estimate implies that $\{u_s\}_{s\ge 1}$ is uniformly bounded in $W^{1,2}(\Omega; \mathbb{R}^2)$. Hence, up to the extraction of a subsequence, we have

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$$\int_{\Omega}\left|\det \nabla u_{s}\left(x\right)\right|^{q} \ \mathrm{d}x \leq \frac{1}{c_{2}}\left(m+1\right)$$

for all $s \ge 1$. By Poincaré inequality, the first estimate implies that $\{u_s\}_{s\ge 1}$ is uniformly bounded in $W^{1,2}(\Omega; \mathbb{R}^2)$. Hence, up to the extraction of a subsequence, we have

$$u_s \rightharpoonup u \qquad \text{in } W^{1,2}\left(\Omega; \mathbb{R}^2\right),$$

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and

$$\int_{\Omega} \left| \det \nabla u_{s} \left(x \right) \right|^{q} \, \mathrm{d} x \leq \frac{1}{c_{2}} \left(m + 1 \right)$$

for all $s \ge 1$. By Poincaré inequality, the first estimate implies that $\{u_s\}_{s\ge 1}$ is uniformly bounded in $W^{1,2}(\Omega; \mathbb{R}^2)$. Hence, up to the extraction of a subsequence, we have

$$u_s \rightharpoonup u$$
 in $W^{1,2}(\Omega; \mathbb{R}^2)$,

for some $u \in u_0 + W_0^{1,2}\left(\Omega; \mathbb{R}^2\right)$.

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The second inequality implies that $\{\det \nabla u_s\}_{s\geq 1}$

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The second inequality implies that $\{\det \nabla u_s\}_{s\geq 1}$ is uniformly bounded in $L^q(\Omega)$

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The second inequality implies that $\{\det \nabla u_s\}_{s \ge 1}$ is uniformly bounded in $L^q(\Omega)$ and since q > 1,

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$$\det \nabla u_s \rightharpoonup v \qquad \text{ in } L^q(\Omega) \,,$$

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$$\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$$

for some $v \in L^q(\Omega)$.

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$$\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$$

for some $v \in L^{q}(\Omega)$. But using the same argument as in the proof of weak continuity of the determinant result,

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 $\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$

for some $v \in L^{q}(\Omega)$. But using the same argument as in the proof of weak continuity of the determinant result, by uniqueness of limits,

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$$\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$$

for some $v \in L^{q}(\Omega)$. But using the same argument as in the proof of weak continuity of the determinant result, by uniqueness of limits, we must have

$$v = \det \nabla u$$

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$$\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$$

for some $v \in L^{q}(\Omega)$. But using the same argument as in the proof of weak continuity of the determinant result, by uniqueness of limits, we must have

$$v = \det \nabla u$$

Thus, we have

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$$\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$$

for some $v \in L^{q}(\Omega)$. But using the same argument as in the proof of weak continuity of the determinant result, by uniqueness of limits, we must have

$$v = \det \nabla u$$

Thus, we have

$$\begin{cases} u_s \rightharpoonup u & \text{ in } W^{1,2}\left(\Omega; \mathbb{R}^2\right), \\ \det \nabla u_s \rightharpoonup \det \nabla u & \text{ in } L^1\left(\Omega\right). \end{cases}$$

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$$\det \nabla u_s \rightharpoonup v \qquad \text{in } L^q(\Omega) \,,$$

for some $v \in L^{q}(\Omega)$. But using the same argument as in the proof of weak continuity of the determinant result, by uniqueness of limits, we must have

$$v = \det \nabla u$$

Thus, we have

$$\begin{cases} u_s \rightharpoonup u & \text{ in } W^{1,2}\left(\Omega; \mathbb{R}^2\right), \\ \det \nabla u_s \rightharpoonup \det \nabla u & \text{ in } L^1\left(\Omega\right). \end{cases}$$

Now we can use the wlsc theorem to conclude.

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Now we begin studying the question of regularity of minimizers. We have established the existence of a minimizer in some Sobolev class,

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Now we begin studying the question of regularity of minimizers. We have established the existence of a minimizer in some Sobolev class, typically $W^{1,p}$. Now we want to show that they are in fact more regular when the problem allows it.

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 Regular enough integrands: We establish regularity for the Euler-Lagrange equations. Introduction to the Calculus of Variations

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- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
- Integrands without the required regularity:

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- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
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- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
- Integrands without the required regularity: Here we can no longer work with the Euler-Lagrange equation and instead prove regularity directly using minimality.

The techniques used for both types are related,

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- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
- Integrands without the required regularity: Here we can no longer work with the Euler-Lagrange equation and instead prove regularity directly using minimality.

The techniques used for both types are related, but the latter is usually considerably more technically challenging.

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- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
- Integrands without the required regularity: Here we can no longer work with the Euler-Lagrange equation and instead prove regularity directly using minimality.

The techniques used for both types are related, but the latter is usually considerably more technically challenging. In this course, we would only discuss regularity results for the Euler-Lagrange equations. Introduction to the Calculus of Variations

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Now we begin studying the question of regularity of minimizers. We have established the existence of a minimizer in some Sobolev class, typically $W^{1,p}$. Now we want to show that they are in fact more regular when the problem allows it. This is in general a quite difficult subject which is both important, interesting and intricate. The results can be broadly divided into two types, depending on the regularity of the integrand.

- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
- Integrands without the required regularity: Here we can no longer work with the Euler-Lagrange equation and instead prove regularity directly using minimality.

The techniques used for both types are related, but the latter is usually considerably more technically challenging. In this course, we would only discuss regularity results for the Euler-Lagrange equations. Since the Euler-Lagrange equations are often 'elliptic',

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Now we begin studying the question of regularity of minimizers. We have established the existence of a minimizer in some Sobolev class, typically $W^{1,p}$. Now we want to show that they are in fact more regular when the problem allows it. This is in general a quite difficult subject which is both important, interesting and intricate. The results can be broadly divided into two types, depending on the regularity of the integrand.

- Regular enough integrands: We establish regularity for the Euler-Lagrange equations.
- Integrands without the required regularity: Here we can no longer work with the Euler-Lagrange equation and instead prove regularity directly using minimality.

The techniques used for both types are related, but the latter is usually considerably more technically challenging. In this course, we would only discuss regularity results for the Euler-Lagrange equations. Since the Euler-Lagrange equations are often 'elliptic', these types of results are called **elliptic regularity** results. Introduction to the Calculus of Variations

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We know only their sum to be L^2 to begin with.

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It is perfectly possible for the sum of two functions, none of which are L^2 , to be square integrable.

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It is perfectly possible for the sum of two functions, none of which are L^2 , to be square integrable.

However, this somewhat miraculous conclusion is actually true in all dimensions.

Elliptic regularity results can be broadly classified into a few types depending on the techniques and the spaces involved.

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 \blacktriangleright L^2 theory:

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• L^2 theory: This implies results of the type

$$P(x,D) u \in L^2 \Rightarrow u \in W^{2,2}$$

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► *L^p* theory:

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L^p theory: This implies results of the type

$$P(x, D) u \in L^{p} \Rightarrow u \in W^{2, p}$$

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Linear/Perturbative theory Here we first establish regularity for a model constant coefficient operator and then tackle the variable coefficient operator case by perturbation techniques. Depending on the spaces involved they can be classified into three types.

• L^2 theory: This implies results of the type

$$P(x, D) u \in L^2 \Rightarrow u \in W^{2,2}$$

L^p theory: This implies results of the type

$$P(x,D) u \in L^{p} \Rightarrow u \in W^{2,p}$$

Schauder theory:

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Here P(x, D)

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Here P(x, D) is a second order variable coefficient linear operator with appropriately regular coefficients.

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Schauder theory: This implies results of the type

 $P(x,D) u \in C^{0,\alpha} \Rightarrow u \in C^{2,\alpha}$

Here P(x, D) is a second order variable coefficient linear operator with appropriately regular coefficients. All of these has their local and up to the boundary versions.

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These results are typically valid for equations (N = 1)

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These results are typically valid for equations (N = 1) and does not in general extend to systems $(N \ge 2)$,

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General systems: Everywhere regularity,

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General systems: Everywhere regularity, is in general not true for nonlinear elliptic systems. Instead, we try to prove what is known as partial regularity results.

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$$P(x, D) u = 0 \text{ in } \Omega \Rightarrow u \in C^{1, \alpha}_{loc}(\Omega \setminus \Sigma)$$

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where Σ is a 'lower dimensional set',

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where Σ is a 'lower dimensional set',called the singular set.

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We can barely scratch the surface of elliptic regularity in this course. That would require a course for itself. We would only prove the so-called interior $W^{2,2}$ estimate.

Theorem (Interior *L*² **estimate)**

Let $u \in W^{1,2}(\Omega; \mathbb{R}^N)$ be a weak solution of the following

$$-\operatorname{div}(A(x)\nabla u) = f - \operatorname{div} F$$
 in Ω ,

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where
$$f \in L^2(\Omega; \mathbb{R}^N)$$
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 in Ω ,

where $f \in L^{2}(\Omega; \mathbb{R}^{N})$, $F \in W^{1,2}(\Omega; \mathbb{R}^{N \times n})$

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We can barely scratch the surface of elliptic regularity in this course. That would require a course for itself. We would only prove the so-called interior $W^{2,2}$ estimate.

Theorem (Interior L^2 estimate)

Let $u \in W^{1,2}(\Omega; \mathbb{R}^N)$ be a weak solution of the following

$$-\operatorname{div}(A(x) \nabla u) = f - \operatorname{div} F$$
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$$\left\|\nabla^{2} u\right\|_{L^{2}\left(\widetilde{\Omega}\right)} \leq c\left(\left\|u\right\|_{L^{2}\left(\Omega\right)} + \left\|f\right\|_{L^{2}\left(\Omega\right)} + \left\|\nabla F\right\|_{L^{2}\left(\Omega\right)}\right)$$

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where c > 0 is a constant depending only on

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where c > 0 is a constant depending only on Ω , Ω and the ellipticity and the bounds on A.

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The main tool is an inequality called the **Caccioppoli inequality** or the **reverse Poincaré inequality**.

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Theorem (Caccioppoli inequality)

Let $u \in W^{1,2}(\Omega)$ be a weak solution of $\Delta u = 0$,

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Theorem (Caccioppoli inequality) Let $u \in W^{1,2}(\Omega)$ be a weak solution of $\Delta u = 0$, *i.e.*

$$\int_{\Omega}\left\langle
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Then for every $x_0 \in \Omega$, $0 < \rho < R < dist(x_0, \partial \Omega)$,

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$$\int_{\Omega} \langle \nabla u, \nabla \phi \rangle = 0 \qquad \text{for every } \phi \in W_0^{1,2}(\Omega) \,. \tag{1}$$

Then for every $x_0 \in \Omega$, $0 < \rho < R < \text{dist}(x_0, \partial \Omega)$, we have

$$\int_{B_{\rho}(x_0)} |\nabla u|^2 \, \mathrm{d}x \le \frac{c}{\left(R-\rho\right)^2} \int_{B_{R}(x_0)\setminus B_{\rho}(x_0)} |u-\lambda|^2 \, \mathrm{d}x, \qquad \text{for all } \lambda \in \mathbb{R}^{\mathsf{reg}}$$
(2)

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for some universal constant c > 0.

The regularity is a consequence of the competition between reverse Poincaré and the usual Poincaré-Sobolev inequalities.

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 $\in \mathbb{R}^{\text{Regularity for Harmonic}}_{2}$

Proof. Let $\eta \in C_c^{\infty}(B_R(x_0))$

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 in $B_{
ho}\left(x_{0}
ight), \quad 0 \leq \eta \leq 1$ and $|
abla \eta| \leq rac{c}{R-
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$$\int_{B_{R}(x_{0})} \left| \nabla u \right|^{2} \eta^{2} \, \mathrm{d}x \leq \int_{B_{R}(x_{0})} \left| \nabla u \right| \left| u - \lambda \right| 2\eta \left| \nabla \eta \right| \, \, \mathrm{d}x$$

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Thus, using Hölder inequality, we deduce

$$\begin{split} \int_{B_{R}(x_{0})} \left| \nabla u \right|^{2} \eta^{2} \, \mathrm{d}x &\leq \int_{B_{R}(x_{0})} \left| \nabla u \right| \left| u - \lambda \right| 2\eta \left| \nabla \eta \right| \, \mathrm{d}x \\ &\leq \left(\int_{B_{R}(x_{0})} \left| \nabla u \right|^{2} \eta^{2} \, \mathrm{d}x \right)^{\frac{1}{2}} \left(\int_{B_{R}(x_{0})} 4 \left| u - \lambda \right|^{2} \left| \nabla \eta \right|^{2} \frac{\mathrm{Polyconvexity}}{\mathrm{Regularity}} \right)^{\frac{1}{2}} \mathrm{d}x \end{split}$$

This implies

$$\int_{B_{R}(x_{0})} \left| \nabla u \right|^{2} \eta^{2} \, \mathrm{d}x \leq 4 \int_{B_{R}(x_{0})} \left| u - \lambda \right|^{2} \left| \nabla \eta \right|^{2} \, \mathrm{d}x.$$

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$$\int_{B_{\rho}(x_0)} \left| \nabla u \right|^2 \, \mathrm{d}x \leq \int_{B_{R}(x_0)} \left| \nabla u \right|^2 \eta^2 \, \mathrm{d}x$$

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$$\begin{split} \int_{B_{\rho}(x_{0})} \left| \nabla u \right|^{2} \, \mathrm{d}x &\leq \int_{B_{R}(x_{0})} \left| \nabla u \right|^{2} \eta^{2} \, \mathrm{d}x \\ &\leq 4 \int_{B_{R}(x_{0})} \left| u - \lambda \right|^{2} \left| \nabla \eta \right|^{2} \, \mathrm{d}x \end{split}$$

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However remarkable it may sound, this is enough to proof that harmonic functions are smooth! To do this, we would use what are called **apriori estimates**. This is a baffling notion at first sight. To prove the smoothness of u, first we are going to prove some estimates assuming u is smooth!!

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However remarkable it may sound, this is enough to proof that harmonic functions are smooth! To do this, we would use what are called **apriori estimates**. This is a baffling notion at first sight. To prove the smoothness of u, first we are going to prove some estimates assuming u is smooth!! In case you are wondering, we do know how to spell circularity.

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Proof.

Since *u* is harmonic and smooth,

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$$\int_{B_{R/2}(x_0)} \left| \nabla \left(\frac{\partial u}{\partial x_i} \right) \right|^2 \, \mathrm{d}x \leq \frac{c}{R^2} \int_{B_{2R/3}(x_0)} \left| \frac{\partial u}{\partial x_i} \right|^2 \, \mathrm{d}x$$

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We can iterate for higher derivatives.

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$$\int_{\Omega} \left\langle \nabla u, \nabla \phi \right\rangle = 0 \qquad \text{for every } \phi \in W_0^{1,2}\left(\Omega\right).$$

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$$\int_{\Omega} \left\langle \nabla u, \nabla \phi \right\rangle = 0 \qquad \text{for every } \phi \in W_0^{1,2}\left(\Omega\right).$$

Then $u \in C^{\infty}_{loc}(\Omega)$

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$$\int_{\Omega} \left\langle \nabla u, \nabla \phi \right\rangle = 0 \qquad \text{ for every } \phi \in W_0^{1,2}\left(\Omega\right).$$

Then $u \in C_{loc}^{\infty}(\Omega)$ and for every $x_0 \in \Omega$, $0 < R < \text{dist}(x_0, \partial \Omega)$ and any $k \in \mathbb{N}$,

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$$\int_{\Omega} \left\langle \nabla u, \nabla \phi \right\rangle = 0 \qquad \text{ for every } \phi \in W_0^{1,2}\left(\Omega\right).$$

Then $u \in C_{loc}^{\infty}(\Omega)$ and for every $x_0 \in \Omega$, $0 < R < \text{dist}(x_0, \partial \Omega)$ and any $k \in \mathbb{N}$, we have

$$\int_{B_{R/2}(x_0)} \left| D^k u \right|^2 \, \mathrm{d} x \leq c \int_{B_R(x_0)} \left| u \right|^2 \, \mathrm{d} x$$

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for some constant c = c(k, R) > 0.

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Proof.

Fix $x_0 \in \Omega$ and $0 < R < \text{dist}(x_0, \partial \Omega)$.

Fix $x_0 \in \Omega$ and $0 < R < \text{dist}(x_0, \partial \Omega)$. Let $u_{\varepsilon} := u * \rho_{\varepsilon}$,

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$$u_{\varepsilon} \rightarrow u$$
 in $C^{m}\left(\overline{B_{R/2}(x_{0})}\right)$

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$$u_{\varepsilon} \rightarrow u$$
 in $C^{m}\left(\overline{B_{R/2}(x_{0})}\right)$

for any $m \in \mathbb{N}$. Since x_0 and R are otherwise arbitrary,

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$$u_{\varepsilon} \rightarrow u$$
 in $C^{m}\left(\overline{B_{R/2}(x_{0})}\right)$

for any $m \in \mathbb{N}$. Since x_0 and R are otherwise arbitrary, this proves $u \in C_{loc}^{\infty}(\Omega)$.

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$$u_{\varepsilon} \to u$$
 in $C^m\left(\overline{B_{R/2}(x_0)}\right)$

for any $m \in \mathbb{N}$. Since x_0 and R are otherwise arbitrary, this proves $u \in C_{loc}^{\infty}(\Omega)$. The estimates now follows from the estimates for u_{ε} by passing to the limit.

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Thank you *Questions?*