

Introduction to the Calculus of Variations: Lecture 19

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Direct methods

Dirichlet Integral

Integrands depending only on the gradient

Integrands with x dependence

Integrands with x and u dependence

Euler-Lagrange Equations

Necessity of convexity and the vectorial calculus of variations

Weak continuity of the determinants

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Now we want to derive the Euler-Lagrange equation satisfied by a minimizer.

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Now we want to derive the Euler-Lagrange equation satisfied by a minimizer. But this would require certain regularity of the integrand f . So far, we have only worked with the assumption that f is a Carathéodory function satisfying some coercivity conditions. Now we need to assume something more, which are called growth conditions.

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Definition (Growth condition on f)

Let $1 < p < \infty$. A Carathéodory function

$$f : \Omega \times \mathbb{R}^N \times \mathbb{R}^{N \times n} \rightarrow \mathbb{R}, \quad f = f(x, u, \xi)$$

is said to satisfy **p -growth conditions**

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$$|f(x, u, \xi)| \leq \alpha(x) + \beta(|u|^p + |\xi|^p) \quad (G_p)$$

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for a.e. $x \in \Omega$ and for every $(u, \xi) \in \mathbb{R}^N \times \mathbb{R}^{N \times n}$.

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Note that the p -growth conditions automatically implies that

$$I[u] := \int_{\Omega} f(x, u(x), \nabla u(x)) \, dx < \infty$$

for every $u \in W^{1,p}(\Omega; \mathbb{R}^N)$.

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$$|D_u f(x, u, \xi)| \leq \alpha_1(x) + \beta \left(|u|^{p-1} + |\xi|^{p-1} \right)$$

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$$\left. \begin{aligned} |D_u f(x, u, \xi)| &\leq \alpha_1(x) + \beta \left(|u|^{p-1} + |\xi|^{p-1} \right) \\ |D_{\xi} f(x, u, \xi)| &\leq \alpha_2(x) + \beta \left(|u|^{p-1} + |\xi|^{p-1} \right) \end{aligned} \right\} (G_{p,\text{cont}})$$

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for a.e. $x \in \Omega$ and for every $(u, \xi) \in \mathbb{R}^N \times \mathbb{R}^{N \times n}$ for some $\alpha_1, \alpha_2 \in L^1(\Omega)$ and $\beta \geq 0$

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Theorem (Euler-Lagrange equations)

Let $n \geq 2$, $N \geq 1$ be integers, $\Omega \subset \mathbb{R}^n$ be open, bounded, smooth and $1 < p < \infty$.

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$$\inf \left\{ I[u] : u \in u_0 + W_0^{1,p}(\Omega; \mathbb{R}^N) \right\} = m$$

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Then for every $\phi \in W_0^{1,p}(\Omega; \mathbb{R}^N)$, we have

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$$\int_{\Omega} [\langle D_{\xi} f(x, \bar{u}, \nabla \bar{u}), \nabla \phi \rangle + \langle D_u f(x, \bar{u}, \nabla \bar{u}), \phi \rangle] dx = 0.$$

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In other words, \bar{u} is a 'weak' solution for the Dirichlet BVP for the (system of) PDE

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In other words, \bar{u} is a 'weak' solution for the Dirichlet BVP for the (system of) PDE

$$\begin{cases} \operatorname{div} [D_{\xi} f(x, u, \nabla u)] = D_u f(x, u, \nabla u) & \text{in } \Omega \\ u = u_0 & \text{on } \partial\Omega. \end{cases}$$

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Proof. By (G_p) ,

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Proof. By (G_p) , we have $I[\bar{u} + \varepsilon\phi]$ is well defined for every $\varepsilon \in \mathbb{R}$ and every $\phi \in W_0^{1,p}(\Omega; \mathbb{R}^N)$.

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$$0 = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} (I[\bar{u} + \varepsilon\phi] - I[\bar{u}])$$

Now we compute

$$\begin{aligned} & \frac{1}{\varepsilon} (I[\bar{u} + \varepsilon\phi] - I[\bar{u}]) \\ &= \frac{1}{\varepsilon} \int_{\Omega} dx \int_0^1 \frac{d}{dt} [f(x, \bar{u}(x) + t\varepsilon\phi(x), \nabla\bar{u}(x) + t\varepsilon\nabla\phi(x))] dt \end{aligned}$$

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Proof. By (G_p) , we have $I[\bar{u} + \varepsilon\phi]$ is well defined for every $\varepsilon \in \mathbb{R}$ and every $\phi \in W_0^{1,p}(\Omega; \mathbb{R}^N)$. Since \bar{u} is a minimizer, we must have

$$0 = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} (I[\bar{u} + \varepsilon\phi] - I[\bar{u}])$$

Now we compute

$$\begin{aligned} & \frac{1}{\varepsilon} (I[\bar{u} + \varepsilon\phi] - I[\bar{u}]) \\ &= \frac{1}{\varepsilon} \int_{\Omega} dx \int_0^1 \frac{d}{dt} [f(x, \bar{u}(x) + t\varepsilon\phi(x), \nabla\bar{u}(x) + t\varepsilon\nabla\phi(x))] dt \\ &= \int_{\Omega} g(x, \varepsilon) dx, \end{aligned}$$

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where

$$g(x, \varepsilon) := \int_0^1 \left[\begin{aligned} & \langle D_{\xi} f(x, \bar{u} + t\varepsilon\phi, \nabla\bar{u} + t\varepsilon\nabla\phi), \nabla\phi \rangle \\ & + \langle D_u f(x, \bar{u} + t\varepsilon\phi, \nabla\bar{u} + t\varepsilon\nabla\phi), \phi \rangle \end{aligned} \right] dt$$

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Clearly, all we need to prove is that we have

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$$0 = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} (I[\bar{u} + \varepsilon\phi] - I[\bar{u}]) = \lim_{\varepsilon \rightarrow 0} \int_{\Omega} g(x, \varepsilon) dx = \int_{\Omega} \lim_{\varepsilon \rightarrow 0} g(x, \varepsilon) dx.$$

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This will follow from dominated convergence theorem as soon as we can establish a bound of $g(x, \varepsilon)$

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This will follow from dominated convergence theorem as soon as we can establish a bound of $g(x, \varepsilon)$ which is independent of ε

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This will follow from dominated convergence theorem as soon as we can establish a bound of $g(x, \varepsilon)$ which is independent of ε and is in $L^1(\Omega)$.

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This will follow from dominated convergence theorem as soon as we can establish a bound of $g(x, \varepsilon)$ which is independent of ε and is in $L^1(\Omega)$. Using $(G_{p,\text{cont}})$, we have

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$$\begin{aligned} & |\langle D_u f(x, \bar{u} + t\varepsilon\phi, \nabla\bar{u} + t\varepsilon\nabla\phi), \phi \rangle| \\ & \leq |\alpha_1| |\phi| + \beta |\bar{u} + t\varepsilon\phi|^{p-1} |\phi| + \beta |\nabla\bar{u} + t\varepsilon\nabla\phi|^{p-1} |\phi| \end{aligned}$$

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and

$$\begin{aligned} & |\langle D_\xi f(x, \bar{u} + t\varepsilon\phi, \nabla\bar{u} + t\varepsilon\nabla\phi), \nabla\phi \rangle| \\ & \leq |\alpha_2| |\nabla\phi| + \beta |\bar{u} + t\varepsilon\phi|^{p-1} |\nabla\phi| + \beta |\nabla\bar{u} + t\varepsilon\nabla\phi|^{p-1} |\nabla\phi|. \end{aligned}$$

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From this, it is easy to establish the uniform L^1 bound.

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From this, it is easy to establish the uniform L^1 bound. We just show how to estimate the term coming from the last summand above.

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From this, it is easy to establish the uniform L^1 bound. We just show how to estimate the term coming from the last summand above. Using Young's inequality and the triangle inequality,

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Now since we are interested in $\varepsilon \rightarrow 0$,

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$$\left| \int_0^1 |\nabla \bar{u} + t\varepsilon \nabla \phi|^{p-1} |\nabla \phi| dt \right| \leq c \int_0^1 (|\nabla \bar{u}|^p + |t\varepsilon|^p |\nabla \phi|^p + |\nabla \phi|^p) dt$$

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Now the RHS clearly is in $L^1(\Omega)$

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Now the RHS clearly is in $L^1(\Omega)$ since $\nabla \bar{u}, \nabla \phi \in L^p(\Omega; \mathbb{R}^{N \times n})$.

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Now the RHS clearly is in $L^1(\Omega)$ since $\nabla \bar{u}, \nabla \phi \in L^p(\Omega; \mathbb{R}^{N \times n})$. Other terms can be estimated in a similar manner. This completes the proof. \square

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In general, for sequential weak lower semicontinuity theorems, convexity of the map $\xi \mapsto f(x, u, \xi)$ plays a crucial role.

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In general, for sequential weak lower semicontinuity theorems, convexity of the map $\xi \mapsto f(x, u, \xi)$ plays a crucial role. We have already seen that this is sufficient for sequential weak lower semicontinuity assuming the usual lower bounds.

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If either $n = 1$ or $N = 1$,

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In general, for sequential weak lower semicontinuity theorems, convexity of the map $\xi \mapsto f(x, u, \xi)$ plays a crucial role. We have already seen that this is sufficient for sequential weak lower semicontinuity assuming the usual lower bounds. Is this a necessary condition for wpsc?

If either $n = 1$ or $N = 1$, this is indeed necessary as well.

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Let $\Omega \subset \mathbb{R}^n$ be open. Let $f : \Omega \times \mathbb{R}^N \times \mathbb{R}^{N \times n} \rightarrow \mathbb{R}$, $f = f(x, u, \xi)$ be a Carathéodory function satisfying

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Definition (Quasiconvexity)

Let $f : \Omega \times \mathbb{R}^N \times \mathbb{R}^{N \times n} \rightarrow \mathbb{R}$, $f = f(x, u, \xi)$ be a Carathéodory function.

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Let us now show in a simple setting that

$$\text{convexity} \Rightarrow \text{quasiconvexity.}$$

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Proposition (convexity implies quasiconvexity)

Let $f : \mathbb{R}^{N \times n} \rightarrow \mathbb{R}$, $f = f(\xi)$ be continuous.

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Proposition (convexity implies quasiconvexity)

Let $f : \mathbb{R}^{N \times n} \rightarrow \mathbb{R}$, $f = f(\xi)$ be continuous. Then we have

$$f \text{ convex} \quad \Rightarrow \quad f \text{ quasiconvex.}$$

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$$\frac{1}{|D|} \int_D \nabla \phi(y) \, dy = 0.$$

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Since f is convex, by Jensen's inequality, for any $\xi_0 \in \mathbb{R}^{N \times n}$, we deduce

$$\frac{1}{|D|} \int_D f(\xi_0 + \nabla \phi(y)) \, dy \geq f\left(\frac{1}{|D|} \int_D [\xi_0 + \nabla \phi(y)] \, dy\right) = f(\xi_0).$$

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This proves f is quasiconvex. □

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$$g(t) := f(\xi + ta \otimes b)$$

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Note that for an $N \times n$ matrix X ,

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Example

Let $n = N = 2$.

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This is clearly affine in t .

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$$\lambda(1 - \lambda) = \det \begin{pmatrix} \lambda & 0 \\ 0 & 1 - \lambda \end{pmatrix}$$

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Now we give an example of a function which is rank one convex but not convex.

Example

Let $n = N = 2$. Let $f : \mathbb{R}^{2 \times 2} \rightarrow \mathbb{R}$ be defined as

$$f(\xi) = \det \xi.$$

Then f is rank one convex but not convex.

Indeed, we have

$$\begin{aligned} \det \begin{pmatrix} \xi_{11} + ta_1b_1 & \xi_{12} + ta_1b_2 \\ \xi_{21} + ta_2b_1 & \xi_{22} + ta_2b_2 \end{pmatrix} \\ = (\xi_{11}\xi_{22} - \xi_{12}\xi_{21}) + t(a_2b_2\xi_{11} + a_1b_1\xi_{22} - a_2b_1\xi_{12} - a_1b_2\xi_{21}). \end{aligned}$$

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$$\lambda(1 - \lambda) = \det \begin{pmatrix} \lambda & 0 \\ 0 & 1 - \lambda \end{pmatrix} > \lambda \det \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + (1 - \lambda) \det \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

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This is clearly affine in t . But clearly, for any $\lambda \in (0, 1)$,

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Now we shall show that the determinant is not only rank one affine, but actually also quasilinear.

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Now we shall show that the determinant is not only rank one affine, but actually also quasilinear. Moreover, it is also weakly continuous.

Proposition

Let $\Omega \subset \mathbb{R}^2$. Let $\{u_s\}_{s \geq 1} \subset W^{1,p}(\Omega, \mathbb{R}^2)$

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for some $2 < p < \infty$.

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for some $2 < p < \infty$. Then up to the extraction of a subsequence,

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Proof.

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Proof. By Hölder inequality, it is easy to show that $\det \nabla u_s$ is uniformly bounded in $L^{\frac{p}{2}}(\Omega)$

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for some $2 < p < \infty$. Then up to the extraction of a subsequence,

$$\det \nabla u_s \rightharpoonup \det \nabla u \quad \text{in } L^{\frac{p}{2}}(\Omega).$$

Proof. By Hölder inequality, it is easy to show that $\det \nabla u_s$ is uniformly bounded in $L^{\frac{p}{2}}(\Omega)$ and thus up to the extraction of a subsequence, this converges weakly in $L^{\frac{p}{2}}$ to a weak limit.

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So it is enough to show that for every $\psi \in C_c^\infty(\Omega)$,

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The End

So it is enough to show that for every $\psi \in C_c^\infty(\Omega)$, we have,

$$\int_{\Omega} \det \nabla u_s(x) \psi(x) \, dx \rightarrow \int_{\Omega} \det \nabla u(x) \psi(x) \, dx.$$

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So integrating by parts, we obtain

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So integrating by parts, we obtain

$$\int_{\Omega} \det \nabla u_s(x) \psi(x) \, dx = \int_{\Omega} \operatorname{div} \left(u_s^1 \frac{\partial u_s^2}{\partial x_2}, -u_s^1 \frac{\partial u_s^2}{\partial x_1} \right) (x) \psi(x) \, dx$$

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The last identity is true for u_ε in $W^{1,p}$ as well, by density.

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$$\int_{\Omega} \left\langle \left(u_s^1 \frac{\partial u_s^2}{\partial x_2}, -u_s^1 \frac{\partial u_s^2}{\partial x_1} \right), \nabla \psi \right\rangle \rightarrow \int_{\Omega} \left\langle \left(u^1 \frac{\partial u^2}{\partial x_2}, -u^1 \frac{\partial u^2}{\partial x_1} \right), \nabla \psi \right\rangle.$$

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This is enough to prove the result by another integration by parts.

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This is enough to prove the result by another integration by parts. Now we show

$$\int_{\Omega} u_s^1(x) \frac{\partial u_s^2}{\partial x_2}(x) \frac{\partial \psi}{\partial x_1}(x) \, dx \rightarrow \int_{\Omega} u^1(x) \frac{\partial u^2}{\partial x_2}(x) \frac{\partial \psi}{\partial x_1}(x) \, dx.$$

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This is enough to prove the result by another integration by parts. Now we show

$$\int_{\Omega} u_s^1(x) \frac{\partial u_s^2}{\partial x_2}(x) \frac{\partial \psi}{\partial x_1}(x) \, dx \rightarrow \int_{\Omega} u^1(x) \frac{\partial u^2}{\partial x_2}(x) \frac{\partial \psi}{\partial x_1}(x) \, dx.$$

By Rellich-Kondrachov, $u_s \rightarrow u$ strongly in L^p .

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This is enough to prove the result by another integration by parts. Now we show

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By Rellich-Kondrachov, $u_s \rightarrow u$ strongly in L^p . Thus, we have,

$$\begin{aligned} & \int_{\Omega} \left(u_s^1 \frac{\partial u_s^2}{\partial x_2} \frac{\partial \psi}{\partial x_1} - u^1 \frac{\partial u^2}{\partial x_2} \frac{\partial \psi}{\partial x_1} \right) \, dx \\ &= \int_{\Omega} (u_s^1 - u^1) \frac{\partial u_s^2}{\partial x_2} \frac{\partial \psi}{\partial x_1} \, dx + \int_{\Omega} u^1 \left(\frac{\partial u_s^2}{\partial x_2} - \frac{\partial u^2}{\partial x_2} \right) \frac{\partial \psi}{\partial x_1} \, dx \end{aligned}$$

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The second term converges to zero by definition of weak convergence in L^p

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The second term converges to zero by definition of weak convergence in L^p and the fact that

$$\nabla u_\varepsilon \rightharpoonup \nabla u \quad \text{in } L^p.$$

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Now we can estimate

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$$\left| \int_{\Omega} (u_s^1 - u^1) \frac{\partial u_s^2}{\partial x_2} \frac{\partial \psi}{\partial x_1} dx \right| \leq \|u_s^1 - u^1\|_{L^p} \left\| \frac{\partial u_s^2}{\partial x_2} \right\|_{L^p} \|\nabla \psi\|_{L^\infty}.$$

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The RHS clearly goes to zero as ∇u_s is uniformly bounded in L^p

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The RHS clearly goes to zero as ∇u_s is uniformly bounded in L^p and the strong convergence $u_s \rightarrow u$ in L^p . This completes the proof. \square

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Thank you
Questions?