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Absolute continuity: first encounter with Sobolev spaces

Existence of geodesics

Regularity questions

The End

Introduction to the Calculus of Variations: Lecture 10

Swarnendu Sil

Department of Mathematics Indian Institute of Science

Spring Semester 2021

Outline

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We have already defined weak derivatives.

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Definition (weak derivatives)
Let u \in L^1((0, T); \mathbb{R}^d).
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Let $u \in L^1((0, T); \mathbb{R}^d)$. We say u has a **weak derivative** if there exists a function $v \in L^1((0, T); \mathbb{R}^d)$

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$$\int_0^T \left< \mathsf{v}, \psi \right> = - \int_0^T \left< u, \dot{\psi} \right> \qquad \text{for any } \psi \in \mathit{C}^\infty_c\left((0, \, \mathcal{T}); \mathbb{R}^d\right).$$

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In this case, we say v is the weak derivative of u and we write

$$v = \dot{u}$$
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Remark

The weak derivative, if it exists, is unique.

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In this case, we say v is the weak derivative of u and we write

 $v = \dot{u}$.

Remark

The weak derivative, if it exists, is unique.

Can you see why?

Any two weak derivatives of u would be equal a.e. by the fundamental lemma of calculus of variations and thus would represent the same L^1 function.

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A measurable function $u: (a, b) \rightarrow \mathbb{R}$

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A measurable function $u : (a, b) \to \mathbb{R}$ is said to be a **Sobolev** function of class $W^{1,p}$

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A measurable function $u : (a, b) \to \mathbb{R}$ is said to be a **Sobolev** function of class $W^{1,p}$ if $u \in L^p((a, b))$ and

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A measurable function $u: (a, b) \to \mathbb{R}$ is said to be a **Sobolev** function of class $W^{1,p}$ if $u \in L^p((a, b))$ and the weak derivative $\dot{u} \in L^p((a, b))$ for $1 \le p \le \infty$. Introduction to the Calculus of Variations

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A measurable function $u : (a, b) \to \mathbb{R}^N$ is said to be a **Sobolev** function of class $W^{1,p}$ if $u_i \in W^{1,p}((a, b))$

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A measurable function $u : (a, b) \to \mathbb{R}^N$ is said to be a **Sobolev** function of class $W^{1,p}$ if $u_i \in W^{1,p}((a, b))$ for every $1 \le i \le N$.

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Remark

Note that by our definition, as soon as an L^1 function is weakly differentiable,

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Remark

Note that by our definition, as soon as an L^1 function is weakly differentiable, it is a Sobolev function of class $W^{1,1}$.

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Let us now introduce a norm on $W^{1,p}$.

Proposition

Let $u \in W^{1,p}\left((a,b); \mathbb{R}^N\right)$.

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Proposition

Let $u \in W^{1,p}\left((a,b);\mathbb{R}^N\right)$. If $1 \leq p < \infty$, then

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Proposition

Let
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. If $1 \leq p < \infty$, then

$$\|u\|_{W^{1,p}((a,b);\mathbb{R}^N)} := \|u\|_{L^p((a,b);\mathbb{R}^N)} + \|\dot{u}\|_{L^p((a,b);\mathbb{R}^N)} < \infty.$$

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For $p = \infty$, we have

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For $p = \infty$, we have

$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

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For $p = \infty$, we have

$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

Moreover, these expressions defines a norm

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$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

Moreover, these expressions defines a norm on the vector space of all functions in $W^{1,p}((a,b); \mathbb{R}^N)$.

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The vector space of all function in $W^{1,p}((a,b); \mathbb{R}^N)$,

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Proposition

The vector space of all function in $W^{1,p}\left((a,b);\mathbb{R}^N\right)$, equipped with the norms above

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For $p = \infty$, we have

$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

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Proposition

The vector space of all function in $W^{1,p}((a, b); \mathbb{R}^N)$, equipped with the norms above is a **Banach space**,

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For $p = \infty$, we have

$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

Moreover, these expressions defines a norm on the vector space of all functions in $W^{1,p}((a,b); \mathbb{R}^N)$.

Proposition

The vector space of all function in $W^{1,p}((a, b); \mathbb{R}^N)$, equipped with the norms above is a Banach space, which is reflexive for 1

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For $p = \infty$, we have

$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

Moreover, these expressions defines a norm on the vector space of all functions in $W^{1,p}((a,b); \mathbb{R}^N)$.

Proposition

The vector space of all function in $W^{1,p}((a, b); \mathbb{R}^N)$, equipped with the norms above is a **Banach space**, which is reflexive for $1 and is separable for <math>1 \le p < \infty$.

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Sobolev spaces in dimension one

Let us now introduce a norm on $W^{1,p}$.

Proposition

Let
$$u \in W^{1,p}\left((a,b);\mathbb{R}^N\right)$$
. If $1 \leq p < \infty$, then

$$\|u\|_{W^{1,p}((a,b);\mathbb{R}^N)} := \|u\|_{L^p((a,b);\mathbb{R}^N)} + \|\dot{u}\|_{L^p((a,b);\mathbb{R}^N)} < \infty.$$

For $p = \infty$, we have

$$\|u\|_{W^{1,\infty}((a,b);\mathbb{R}^N)} := \|u\|_{L^{\infty}((a,b)\mathbb{R}^N)} + \|\dot{u}\|_{L^{\infty}((a,b);\mathbb{R}^N)} < \infty.$$

Moreover, these expressions defines a norm on the vector space of all functions in $W^{1,p}((a,b); \mathbb{R}^N)$.

Proposition

The vector space of all function in $W^{1,p}((a, b); \mathbb{R}^N)$, equipped with the norms above is a **Banach space**, which is reflexive for $1 and is separable for <math>1 \le p < \infty$. We would simply write this space as $W^{1,p}((a, b); \mathbb{R}^N)$.

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The space $W^{1,2}\left((a,b);\mathbb{R}^N
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The space $W^{1,2}\left((a,b);\mathbb{R}^N
ight)$, equipped with the inner product

$$\begin{split} \langle u, v \rangle_{W^{1,2}((a,b);\mathbb{R}^N)} &:= \langle u, v \rangle_{L^2((a,b);\mathbb{R}^N)} + \langle \dot{u}, \dot{v} \rangle_{L^2((a,b);\mathbb{R}^N)} \\ &= \int_a^b \langle u, v \rangle + \int_a^b \langle \dot{u}, \dot{v} \rangle \,, \end{split}$$

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The space $W^{1,2}\left((a,b);\mathbb{R}^N
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$$\begin{split} \langle u, v \rangle_{W^{1,2}((a,b);\mathbb{R}^N)} &:= \langle u, v \rangle_{L^2((a,b);\mathbb{R}^N)} + \langle \dot{u}, \dot{v} \rangle_{L^2((a,b);\mathbb{R}^N)} \\ &= \int_a^b \langle u, v \rangle + \int_a^b \langle \dot{u}, \dot{v} \rangle \,, \end{split}$$

is a Hilbert space.

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The space $W^{1,2}\left((a,b);\mathbb{R}^{N}
ight)$, equipped with the inner product

$$\langle u, v \rangle_{W^{1,2}((a,b);\mathbb{R}^N)} := \langle u, v \rangle_{L^2((a,b);\mathbb{R}^N)} + \langle \dot{u}, \dot{v} \rangle_{L^2((a,b);\mathbb{R}^N)}$$
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There is another way the Sobolev spaces could have been defined for $1 \leq p < \infty$.

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Let $X^{1,p}$ be the linear subspace

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The completion of $X^{1,p}$ with respect to the above norm

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The completion of $X^{1,p}$ with respect to the above norm is called $H^{1,p}\left((a,b);\mathbb{R}^N\right)$.

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We are now going to prove that the two spaces $W^{1,p}$ and $H^{1,p}$ are the same.

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Theorem (extension and density)

Let (a, b) be a bounded interval of \mathbb{R}

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 There exists a function U ∈ L^p (ℝ) which has a weak derivative U ∈ L^p (ℝ) Introduction to the Calculus of Variations

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$$W^{1,p} = H^{1,p}$$

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Now we define

$$U_{1}(t) = \begin{cases} [\eta u](t), & t > a \\ [\eta u](2a - t), & t < a \end{cases} \text{ and } U_{2} = \begin{cases} [(1 - \eta) u](t), & t < b \\ [(1 - \eta) u](2b - t), & t > b. \end{cases}$$

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Clearly, $U = U_1 + U_2$ does the job.

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Boundary values of a $W^{1,p}$ **function in one dimension** Now we want to investigate the question of boundary values

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Boundary values of a $W^{1,p}$ function in one dimension Now we want to investigate the question of boundary values (or any pointwise value)

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Boundary values of a $W^{1,p}$ function in one dimension Now we want to investigate the question of boundary values (or any pointwise value) of a $W^{1,p}$ function.

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Proof of 2.

Let $U \in W^{1,p}(\mathbb{R})$ be the above extension of $u \in W^{1,p}((a, b))$. Pick a nonnegative $\phi \in C_c^{\infty}([-1, 1])$ such that $\int \phi = 1$ and set

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Theorem Every function in $W^{1,1}((a, b))$ is uniformly continuous in [a, b].

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Moreover, the fundamental theorem of calculus holds, i.e. for all $a \le s < t \le b$,

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This is something we have already seen implicitly in attempting to solve the geodesic problem before.

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Proof

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Proof

Since $W^{1,1} = H^{1,1}$,

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Proof

Since $W^{1,1} = H^{1,1}$, for $u \in W^{1,1}((a, b))$, there exists a sequence $\{u_{\nu}\}_{\nu \geq 1} \subset X^{1,1}$

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Proof

Since $W^{1,1} = H^{1,1}$, for $u \in W^{1,1}((a, b))$, there exists a sequence $\{u_{\nu}\}_{\nu>1} \subset X^{1,1}$ such that

$$u_{\nu} \rightarrow u$$
 in $W^{1,1}$

.

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Since $W^{1,1} = H^{1,1}$, for $u \in W^{1,1}((a, b))$, there exists a sequence $\{u_{\nu}\}_{\nu>1} \subset X^{1,1}$ such that

$$u_
u o u$$
 in $W^{1,1}$

.

Now, using the fundamental theorem of calculus,

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Now, using the fundamental theorem of calculus, we obtain

$$u_{\nu}(t) - u_{\nu}(s) = \int_{s}^{t} \dot{u}_{\nu}(t) \, \mathrm{d}t.$$
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u}(t) - u_{
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u}}(t) \mathrm{d}t\right| \leq \int_{s}^{t} |\dot{u_{
u}}(t)| \mathrm{d}t.$$

and

$$|u_
u(t)| \leq |u_
u(s)| + \int_s^t |\dot{u_
u}(t)| \, \mathrm{d}t.$$

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The last inequality implies

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Integrating this with respect to $s \in (a, b)$,

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Thus $\{u_{\nu}\}$ is uniformly bounded in C^{0}

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Thus $\{u_{\nu}\}$ is uniformly bounded in C^{0} and as

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 strongly in L^1 ,

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$$\int_s^t |\dot{u_
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 uniformly in u as $t - s o 0$.

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Indeed, since $\dot{u} \in L^1$, we have

$$\int_s^t |\dot{u}(t)| \, \mathrm{d}t \to 0 \qquad \text{as } t-s \to 0.$$

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Indeed, since $\dot{u} \in L^1$, we have

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These two together implies the claim above.

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$$(3)$$

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These two together implies the claim above. But the inequality

$$|u_{\nu}(t) - u_{\nu}(s)| = \left| \int_{s}^{t} \dot{u_{\nu}}(t) \, \mathrm{d}t \right| \le \int_{s}^{t} |\dot{u_{\nu}}(t)| \, \mathrm{d}t.$$
(3)

together with the fact that

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Indeed, since $\dot{u} \in L^1$, we have

$$\int_s^t |\dot{u}(t)| \, \mathrm{d}t o 0 \qquad ext{ as } t-s o 0.$$

But the strong convergence implies

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This implies that $\{u_{\nu}\}$ is equicontinuous

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This implies that $\{u_{\nu}\}$ is equicontinuous and thus by Ascoli-Arzela theorem,

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$$u_{\nu} \rightarrow u$$
 in C^0 .

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$$u_{\nu} \rightarrow u$$
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This shows u is continuous.

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$$u_{\nu} \rightarrow u$$
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$$u_{\nu} \rightarrow u \quad \text{in } C^0.$$

This shows u is continuous. Now, passing to the limit in (3), we deduce that u is **uniformly continuous**.

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$$u_{\nu} \rightarrow u \quad \text{in } C^0.$$

This shows u is continuous. Now, passing to the limit in (3), we deduce that u is **uniformly continuous**. The other statements follow by passing to the limit

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$$u_{\nu} \rightarrow u \qquad \text{in } C^0.$$

This shows u is continuous. Now, passing to the limit in (3), we deduce that u is **uniformly continuous**. The other statements follow by passing to the limit in (1) and (2).

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In a similar manner, we can prove the following,

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bo End

In a similar manner, we can prove the following, which is a particular case of the Sobolev-Morrey embedding.

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In a similar manner, we can prove the following, which is a particular case of the Sobolev-Morrey embedding.

Theorem

Every function in $W^{1,p}((a, b))$ with p > 1 Hölder continuous in [a, b].

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$$\sup_{t \in [a,b]} |u| \le \left(\frac{1}{(b-a)} \int_a^b |u|^p\right)^{\frac{1}{p}} + (b-a)^{1-\frac{1}{p}} \left(\int_a^b |\dot{u}|^p\right)^{\frac{1}{p}}$$

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Moreoever for all $s, t \in [a, b]$,

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Moreoever for all $s, t \in [a, b]$, we have,

$$|u(t) - u(s)| \le \left(\int_{a}^{b} |\dot{u}|^{p}\right)^{\frac{1}{p}} |t - s|^{1 - \frac{1}{p}}$$

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Proof

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Proof

The proof is almost the same.

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The proof is almost the same. The only step where it differs is that we now need to apply Hölder inequality to

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ight)^rac{1}{p} |t-s|^{1-rac{1}{p}} \ &\leq \left(\int_a^b |\dot{u}|^p
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The rest is the same.

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Definition $(W_0^{1,p})$

We define the space $W_0^{1,p}((a,b);\mathbb{R}^N)$ as

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. . .

Definition $(W_0^{1,p})$

We define the space $W_0^{1,p}((a,b);\mathbb{R}^N)$ as the completion of

$$X_{0}^{1,p} := \left\{ u \in C_{c}^{\infty}\left((a,b); \mathbb{R}^{N}\right) : \left\|u\right\|_{W^{1,p}\left((a,b); \mathbb{R}^{N}\right)} < \infty \right\}$$

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with respect to the $W^{1,p}$ norm.

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with respect to the $W^{1,p}$ norm. Clearly, if $u \in W_0^{1,p}\left((a,b); \mathbb{R}^N\right)$, Introduction to the Calculus of Variations

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with respect to the $W^{1,p}$ norm.

Clearly, if $u \in W_0^{1,p}\left((a,b); \mathbb{R}^N\right)$, then u(a) = 0 = u(b).

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Clearly, if $u \in W_0^{1,p}((a,b); \mathbb{R}^N)$, then u(a) = 0 = u(b). We can prove the converse as well.

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Clearly, if $u \in W_0^{1,p}((a,b); \mathbb{R}^N)$, then u(a) = 0 = u(b). We can prove the converse as well.

Theorem (Characterization of $W_0^{1,p}$) Let $u \in W^{1,p}((a,b); \mathbb{R}^N)$. Then $u \in W_0^{1,p}((a,b); \mathbb{R}^N)$ if and only if u(a) = 0 = u(b).

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Proof

Fix any function $G \in C^1(\mathbb{R})$

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Proof

Fix any function $G \in C^1(\mathbb{R})$ such that

$$egin{aligned} G(t) = egin{cases} 0 & ext{ if } |t| \leq 1, \ t & ext{ if } |t| \geq 2. \end{aligned}$$

and

$$|G(t)| \le |t|$$
 for all $t \in \mathbb{R}$.

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Set

$$u_{\nu}=\frac{1}{\nu}G\left(\nu u\right),$$

so that $u_{
u} \in W^{1,p}\left((a,b);\mathbb{R}^{N}
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so that $u_{\nu} \in W^{1,p}((a,b); \mathbb{R}^N)$. On the other hand, we can check that the support of u_{ν} is compactly contained in (a,b) since u(a) = 0 = u(b) and u is continuous.

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so that $u_{\nu} \in W^{1,p}((a,b);\mathbb{R}^N)$. On the other hand, we can check that the support of u_{ν} is compactly contained in (a,b) since u(a) = 0 = u(b) and u is continuous. But this implies easily that $u_{\nu} \in W_0^{1,p}((a,b);\mathbb{R}^N)$. Finally, one easily checks that

$$u_{\nu} \rightarrow u$$
 in $W^{1,p}\left((a,b);\mathbb{R}^{N}\right)$

by the dominated convergence theorem.

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Thank you *Questions?*