# Introduction to the Calculus of Variations: Lecture 10 

## Prelude to Direct

Methods
Geodesics: the problem
Absolute continuity: first encounter with Sobolev spaces
Existence of geodesics
Regularity questions

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Spring Semester 2021

## Outline

## Prelude to Direct Methods

Geodesics: the problem
Absolute continuity: first encounter with Sobolev spaces
Existence of geodesics
Regularity questions

## Recap

We have already defined weak derivatives.
Definition (weak derivatives)
Let $u \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$.

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## Recap

We have already defined weak derivatives.
Definition (weak derivatives)
Let $u \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$. We say $u$ has a weak derivative

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## Recap

We have already defined weak derivatives.
Definition (weak derivatives)
Let $u \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$. We say $u$ has a weak derivative if there exists a function $v \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$

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## Recap

We have already defined weak derivatives.
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Let $u \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$. We say $u$ has a weak derivative if there exists a function $v \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$ such that

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## Recap

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## Definition (weak derivatives)

Let $u \in L^{1}\left((0, T) ; \mathbb{R}^{d}\right)$. We say $u$ has a weak derivative if there

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$$
\int_{0}^{T}\langle v, \psi\rangle=-\int_{0}^{T}\langle u, \dot{\psi}\rangle \quad \text { for any } \psi \in C_{c}^{\infty}\left((0, T) ; \mathbb{R}^{d}\right)
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## Recap

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## Definition (weak derivatives)

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In this case, we say $v$ is the weak derivative of $u$ and we write

$$
v=\dot{u} .
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## Remark

The weak derivative, if it exists, is unique.

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Can you see why?

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The weak derivative, if it exists, is unique.
Can you see why?
Any two weak derivatives of $u$ would be equal a.e. by the fundamental lemma of calculus of variations

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\int_{0}^{T}\langle v, \psi\rangle=-\int_{0}^{T}\langle u, \dot{\psi}\rangle \quad \text { for any } \psi \in C_{c}^{\infty}\left((0, T) ; \mathbb{R}^{d}\right) .
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In this case, we say $v$ is the weak derivative of $u$ and we write

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v=\dot{u} .
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## Remark

The weak derivative, if it exists, is unique.
Can you see why?
Any two weak derivatives of $u$ would be equal a.e. by the fundamental lemma of calculus of variations and thus would represent the same $L^{1}$ function.

## Sobolev spaces in dimension one

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## Sobolev spaces in dimension one

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## Definition ( $W^{1, p}$ functions)

A measurable function $u:(a, b) \rightarrow \mathbb{R}$

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## Sobolev spaces in dimension one

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## Sobolev spaces in dimension one

## Definition ( $W^{1, p}$ functions)

A measurable function $u:(a, b) \rightarrow \mathbb{R}$ is said to be a Sobolev function of class $W^{1, p}$ if $u \in L^{p}((a, b))$ and the weak derivative $\dot{u} \in L^{p}((a, b))$ for $1 \leq p \leq \infty$.

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Definition ( $W^{1, p}$ functions)
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A measurable function $u:(a, b) \rightarrow \mathbb{R}^{N}$ is said to be a Sobolev function of class $W^{1, p}$

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A measurable function $u:(a, b) \rightarrow \mathbb{R}^{N}$ is said to be a Sobolev function of class $W^{1, p}$ if $u_{i} \in W^{1, p}((a, b))$

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A measurable function $u:(a, b) \rightarrow \mathbb{R}$ is said to be a Sobolev function of class $W^{1, p}$ if $u \in L^{p}((a, b))$ and the weak derivative $\dot{u} \in L^{p}((a, b))$ for $1 \leq p \leq \infty$. In this case, we write $u \in W^{1, p}((a, b))$.
A measurable function $u:(a, b) \rightarrow \mathbb{R}^{N}$ is said to be a Sobolev function of class $W^{1, p}$ if $u_{i} \in W^{1, p}((a, b))$ for every $1 \leq i \leq N$.

## Sobolev spaces in dimension one

Definition ( $W^{1, p}$ functions)
A measurable function $u:(a, b) \rightarrow \mathbb{R}$ is said to be a Sobolev

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encounter with Sobolev spaces $\dot{u} \in L^{p}((a, b))$ for $1 \leq p \leq \infty$. In this case, we write $u \in W^{1, p}((a, b))$.
A measurable function $u:(a, b) \rightarrow \mathbb{R}^{N}$ is said to be a Sobolev function of class $W^{1, p}$ if $u_{i} \in W^{1, p}((a, b))$ for every $1 \leq i \leq N$.

## Remark

Note that by our definition, as soon as an $L^{1}$ function is weakly differentiable,

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A measurable function $u:(a, b) \rightarrow \mathbb{R}$ is said to be a Sobolev function of class $W^{1, p}$ if $u \in L^{p}((a, b))$ and the weak derivative $\dot{u} \in L^{p}((a, b))$ for $1 \leq p \leq \infty$. In this case, we write $u \in W^{1, p}((a, b))$.
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## Remark

Note that by our definition, as soon as an $L^{1}$ function is weakly differentiable, it is a Sobolev function of class $W^{1,1}$.

## Sobolev spaces in dimension one

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Let us now introduce a norm on $W^{1, p}$.

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## Sobolev spaces in dimension one

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## Proposition

Let $u \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$.

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## Proposition

Let $u \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$. If $1 \leq p<\infty$, then

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\|u\|_{W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)}:=\|u\|_{L^{p}\left((a, b) ; \mathbb{R}^{N}\right)}+\|\dot{u}\|_{L^{p}\left((a, b) ; \mathbb{R}^{N}\right)}<\infty .
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For $p=\infty$, we have

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For $p=\infty$, we have

$$
\|u\|_{W^{1, \infty}\left((a, b) ; \mathbb{R}^{N}\right)}:=\|u\|_{L^{\infty}\left((a, b) \mathbb{R}^{N}\right)}+\|\dot{u}\|_{L^{\infty}\left((a, b) ; \mathbb{R}^{N}\right)}<\infty .
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Moreover, these expressions defines a norm on the vector space of all functions in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$.

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$$

For $p=\infty$, we have

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\|u\|_{W^{1, \infty}\left((a, b) ; \mathbb{R}^{N}\right)}:=\|u\|_{L^{\infty}\left((a, b) \mathbb{R}^{N}\right)}+\|\dot{\|}\|_{L^{\infty}\left((a, b) ; \mathbb{R}^{N}\right)}<\infty .
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## Proposition

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For $p=\infty$, we have

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## Proposition

The vector space of all function in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the norms above

## Sobolev spaces in dimension one

Let us now introduce a norm on $W^{1, p}$.

## Proposition

Let $u \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$. If $1 \leq p<\infty$, then

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$$

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For $p=\infty$, we have

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\|u\|_{W^{1, \infty}\left((a, b) ; \mathbb{R}^{N}\right)}:=\|u\|_{L^{\infty}\left((a, b) \mathbb{R}^{N}\right)}+\|\dot{u}\|_{L^{\infty}\left((a, b) ; \mathbb{R}^{N}\right)}<\infty .
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Moreover, these expressions defines a norm on the vector space of all functions in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$.

## Proposition

The vector space of all function in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the norms above is a Banach space,

## Sobolev spaces in dimension one

Let us now introduce a norm on $W^{1, p}$.

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## Proposition

The vector space of all function in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the norms above is a Banach space, which is reflexive for $1<p<\infty$

## Sobolev spaces in dimension one

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## Proposition

Let $u \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$. If $1 \leq p<\infty$, then

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## Proposition

The vector space of all function in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the norms above is a Banach space, which is reflexive for $1<p<\infty$ and is separable for $1 \leq p<\infty$.

## Sobolev spaces in dimension one

Let us now introduce a norm on $W^{1, p}$.

## Proposition

Let $u \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$. If $1 \leq p<\infty$, then

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## Proposition

The vector space of all function in $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the norms above is a Banach space, which is reflexive for $1<p<\infty$ and is separable for $1 \leq p<\infty$. We would simply write this space as $W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$.

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## Proposition

The space $W^{1,2}\left((a, b) ; \mathbb{R}^{N}\right)$,

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## Sobolev spaces in dimension one

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## Proposition

The space $W^{1,2}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the inner product

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## Sobolev spaces in dimension one

## Proposition

The space $W^{1,2}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the inner product

$$
\begin{aligned}
\langle u, v\rangle_{W^{1,2}\left((a, b) ; \mathbb{R}^{N}\right)}: & =\langle u, v\rangle_{L^{2}\left((a, b) ; \mathbb{R}^{N}\right)}+\langle\dot{u}, \dot{v}\rangle_{L^{2}\left((a, b) ; \mathbb{R}^{N}\right)} \\
& =\int_{a}^{b}\langle u, v\rangle+\int_{a}^{b}\langle\dot{u}, \dot{v}\rangle
\end{aligned}
$$

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is a Hilbert space.

## Sobolev spaces in dimension one

## Proposition

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& =\int_{a}^{b}\langle u, v\rangle+\int_{a}^{b}\langle\dot{u}, \dot{v}\rangle
\end{aligned}
$$

is a Hilbert space.
There is another way the Sobolev spaces could have been defined for $1 \leq p<\infty$.

## Sobolev spaces in dimension one

## Proposition

The space $W^{1,2}\left((a, b) ; \mathbb{R}^{N}\right)$, equipped with the inner product

$$
\begin{aligned}
\langle u, v\rangle_{W^{1,2}\left((a, b) ; \mathbb{R}^{N}\right)} & :=\langle u, v\rangle_{L^{2}\left((a, b) ; \mathbb{R}^{N}\right)}+\langle\dot{u}, \dot{v}\rangle_{L^{2}\left((a, b) ; \mathbb{R}^{N}\right)} \\
& =\int_{a}^{b}\langle u, v\rangle+\int_{a}^{b}\langle\dot{u}, \dot{v}\rangle,
\end{aligned}
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## Proof

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W^{1, p}=H^{1, p}
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## $W^{1, p}=H^{1, p}$

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Now we define

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U_{1}(t)=\left\{\begin{array}{ll}
{[\eta u](t),} & t>a \\
{[\eta u](2 a-t),} & t<a
\end{array} \quad \text { and } \quad U_{2}= \begin{cases}{[(1-\eta) u](t),} & t<b \\
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Clearly, $U=U_{1}+U_{2}$ does the job.
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## Proof of 2.

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## Proof of 2.

Let $U \in W^{1, p}(\mathbb{R})$

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$W^{1, p}=H^{1, p}$

## Proof of 2.

Let $U \in W^{1, p}(\mathbb{R})$ be the above extension of $u \in W^{1, p}((a, b))$. Pick a nonnegative $\phi \in C_{c}^{\infty}([-1,1])$ such that $\int \phi=1$ and set

$$
\phi_{\varepsilon}(t):=\frac{1}{\varepsilon} \phi\left(\frac{t}{\varepsilon}\right)
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Boundary values of a $W^{1, p}$ function in one dimension Now we want to investigate the question of boundary values ( or any pointwise value ) of a $W^{1, p}$ function. Note since $W^{1, p}$ functions are only a priory $L^{p}$ functions,

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## Continuity of $W^{1,1}$ functions in one dimension

Theorem
Every function in $W^{1,1}((a, b))$ is uniformly continuous in $[a, b]$.

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This is something we have already seen implicitly in attempting to solve the geodesic problem before.

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## Continuity of $W^{1,1}$ functions in one dimension

Indeed, since $\dot{u} \in L^{1}$, we have

$$
\int_{s}^{t}|\dot{u}(t)| \mathrm{d} t \rightarrow 0 \quad \text { as } t-s \rightarrow 0
$$

But the strong convergence implies

$$
\int_{s}^{t}\left|\dot{u}_{\nu}(t)-\dot{u}(t)\right| \mathrm{d} t \rightarrow 0 \quad \text { as } \nu \rightarrow 0
$$

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 encounter with Sobolev spacesThese two together implies the claim above.
But the inequality

$$
\begin{equation*}
\left|u_{\nu}(t)-u_{\nu}(s)\right|=\left|\int_{s}^{t} u_{\nu}(t) \mathrm{d} t\right| \leq \int_{s}^{t}\left|\dot{u}_{\nu}(t)\right| \mathrm{d} t \tag{3}
\end{equation*}
$$

together with the fact that

$$
\int_{s}^{t}\left|\dot{u}_{\nu}(t)\right| \mathrm{d} t \rightarrow 0 \quad \text { uniformly in } \nu \quad \text { as } t-s \rightarrow 0
$$

implies

$$
\left|u_{\nu}(t)-u_{\nu}(s)\right| \rightarrow 0 \quad \text { uniformly in } \nu \quad \text { as } t-s \rightarrow 0 .
$$

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## Continuity of $W^{1,1}$ functions in one dimension

This implies that $\left\{u_{\nu}\right\}$ is equicontinuous and thus by Ascoli-Arzela

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u_{\nu} \rightarrow u \quad \text { in } C^{0} .
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## Continuity of $W^{1,1}$ functions in one dimension

This implies that $\left\{u_{\nu}\right\}$ is equicontinuous and thus by Ascoli-Arzela theorem, up to the extraction of a subsequence which we do not relabel, we have

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This shows $u$ is continuous. Now, passing to the limit in (3), we deduce that $u$ is uniformly continuous. The other statements follow by passing to the limit in (1) and (2).

## Continuity of $W^{1, p}$ functions in one dimension

In a similar manner, we can prove the following,

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## Continuity of $W^{1, p}$ functions in one dimension

In a similar manner, we can prove the following, which is a particular case of the Sobolev-Morrey embedding.

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## Theorem

Every function in $W^{1, p}((a, b))$ with $p>1$ Hölder continuous in $[a, b]$.

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\sup _{t \in[a, b]}|u| \leq\left(\frac{1}{(b-a)} \int_{a}^{b}|u|^{p}\right)^{\frac{1}{p}}+(b-a)^{1-\frac{1}{p}}\left(\int_{a}^{b}|\dot{u}|^{p}\right)^{\frac{1}{p}} .
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$$

Moreoever for all $s, t \in[a, b]$, we have,

$$
|u(t)-u(s)| \leq\left(\int_{a}^{b}|\dot{u}|^{p}\right)^{\frac{1}{p}}|t-s|^{1-\frac{1}{p}}
$$

## Continuity of $W^{1, p}$ functions in one dimension

## Proof

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The proof is almost the same.

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$$

to deduce

$$
\begin{aligned}
\left|u_{\nu}(t)-u_{\nu}(s)\right| & \leq \int_{s}^{t}\left|\dot{u}_{\nu}(t)\right| \mathrm{d} t \\
& \leq\left(\int_{s}^{t}|\dot{u}|^{p}\right)^{\frac{1}{\rho}}|t-s|^{1-\frac{1}{\rho}} \\
& \leq\left(\int_{a}^{b}|\dot{u}|^{p}\right)^{\frac{1}{\rho}}|t-s|^{1-\frac{1}{\rho}} .
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& \leq\left(\int_{a}^{b}|\dot{u}|^{p}\right)^{\frac{1}{p}}|t-s|^{1-\frac{1}{p}}
\end{aligned}
$$

The rest is the same.

## Functions with zero boundary values in $W^{1, p}$ in one dimension

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Now we are going to characterize the functions with zero boundary values.

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## Functions with zero boundary values in $W^{1, p}$ in one dimension

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Definition ( $W_{0}^{1, p}$ )
We define the space $W_{0}^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$ as

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X_{0}^{1, p}:=\left\{u \in C_{c}^{\infty}\left((a, b) ; \mathbb{R}^{N}\right):\|u\|_{W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)}<\infty\right\}
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with respect to the $W^{1, p}$ norm.

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Clearly, if $u \in W_{0}^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, then $u(a)=0=u(b)$.

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Clearly, if $u \in W_{0}^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$, then $u(a)=0=u(b)$. We can prove the converse as well.
Theorem (Characterization of $W_{0}^{1, p}$ )
Let $u \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$. Then $u \in W_{0}^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$ if and only if $u(a)=0=u(b)$.

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## Functions with zero boundary values in $W^{1, p}$ in one dimension

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## Proof

Fix any function $G \in C^{1}(\mathbb{R})$

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## Functions with zero boundary values in $W^{1, p}$ in one dimension

## Proof

Fix any function $G \in C^{1}(\mathbb{R})$ such that

$$
G(t)= \begin{cases}0 & \text { if }|t| \leq 1 \\ t & \text { if }|t| \geq 2\end{cases}
$$

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|G(t)| \leq|t| \quad \text { for all } t \in \mathbb{R} .
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Set

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u_{\nu}=\frac{1}{\nu} G(\nu u),
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so that $u_{\nu} \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$.

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so that $u_{\nu} \in W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)$. On the other hand, we can check that the support of $u_{\nu}$ is compactly contained in $(a, b)$ since $u(a)=0=u(b)$ and $u$ is continuous.

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$$
u_{\nu} \rightarrow u \quad \text { in } W^{1, p}\left((a, b) ; \mathbb{R}^{N}\right)
$$

by the dominated convergence theorem.

## Thank you Questions?

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