# Approximation of weak G-bundles in high dimensions

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9th March 2020 Geometry and Topology Seminar Indian Institute of Science Bengaluru, India

# **1** Gauge theory on Riemannian manifolds

- Bundles, connections and curvatures
- Yang-Mills Functional
- Main questions in higher dimensions
- Weak compactness, Coulomb gauges and topology change

# 2 Approximation for manifold-valued Sobolev maps

- Topology below the continuity threshold
- Approximation of manifold-valued Sobolev maps

# **3** Approximation and topology for bundles with connections

- Approximation for vanishing Morrey-Sobolev bundles with connections
- Regularity of Coulomb bundles
- Topology for bundle-connection pair

# The road ahead

- What's next?: Approximation by 'almost' smooth classes
- Concluding words

# 5 Bonus slide: Instantons

# Gauge theory on Riemannian manifolds

# Basic objects in gauge theory

Gauge theory is the study of the critical points of the Yang-Mills functional

$$YM(A) := \int_{\mathcal{M}^n} \left| F_A 
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- *M<sup>n</sup>* is *n*-dim smooth compact oriented Riemannian manifold (mostly closed)
- G is a finite dim compact Lie group: typically O(m), SO(m), U(m), SU(m)
- A is a principal connection on a principal G-bundle over  $M^n$
- *F<sub>A</sub>* is the **curvature** of the connection *A*.

# Gauge theory on Riemannian manifolds

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 ${
m SU}(2)$  - unit quaternions,  $\mathfrak{su}(2)$  - traceless 2 imes 2 cx. skew-Hermitian matrices.

Imp. Principal SU(2)-bundles: frame bundles for Quaternion line bundles  $c_2 = -\frac{1}{2}p_1 = \chi$  classifies principal SU(2) bundles (and  $\mathbb{H}$  line bundles) over  $M^4$ . The Hopf fibration  $\pi : \mathbb{S}^7 \to \mathbb{S}^4 \sim$  the tautological line bundle over  $\mathbb{HP}^1$ .

#### Principal G-bundles and connections

# **Smooth and** C<sup>0</sup> **Principal** G-bundles

A smooth principal *G*-bundle *P* over  $M^n$ , denoted  $\pi: P \to M^n$ , is locally just a product, i.e. for  $M^n = \bigcup_{\alpha \in I} U_{\alpha}$ , we have  $P|_{U_{\alpha}} \simeq U_{\alpha} \times G$ .

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# Bundle trivialization maps and transition maps

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which are *G*-equivariant: i.e. whenever  $U_{\alpha} \cap U_{\beta} \neq \emptyset$ , there exist smooth maps, called **transition functions** (or **clutching functions**)

$$g_{lphaeta}:U_lpha\cap U_eta
ightarrow G$$

such that for every  $h \in G$  and every  $x \in U_{\alpha} \cap U_{\beta}$ , we have

$$\left(\phi_{\alpha}^{-1}\circ\phi_{\beta}\right)(x,h)=(x,g_{\alpha\beta}(x)h).$$
(1)

### **Cocycle conditions**

From the relation (1), the transition functions satisfy the cocycle identity

 $g_{lphaeta}(x)g_{eta\gamma}(x)=g_{lpha\gamma}(x) \qquad ext{for every } x\in U_{lpha}\cap U_{eta}\cap U_{\gamma}.$ 

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# Bundles as transition function data

$$\mathsf{P}=\left(\left\{U_{\alpha}\right\}_{\alpha\in I},\left\{g_{\alpha\beta}\right\}_{\alpha,\beta\in I}\right)\in\mathcal{P}_{\mathsf{G}}^{\infty}\left(M^{n}\right),\text{ or }\mathcal{P}_{\mathsf{G}}^{0}\left(M^{n}\right)\text{ if }g_{\alpha\beta}\text{ only }\mathsf{C}^{0}.$$

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Two  $C^0$  bundles  $P, Q \in \mathcal{P}^0_G(M^n)$  are  $C^0$ -equivalent, denoted by  $[P]_{C^0} = [Q]_{C^0}$ , if there are continuous maps  $\sigma_{\alpha} : U_{\alpha} \to G$  such that

$$h_{lphaeta} = \sigma_{lpha}^{-1} g_{lphaeta} \sigma_{eta}$$
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 $C^{0}$ -equivalent bundles are the 'same' for topology. This is a sheaf-theoretic description and bundles are basically a Čech cohomology class (non-Abelian!).

Connection (Ehresmann/Principal) on a smooth principal *G*-bundle

Locally,  $A \in \mathcal{A}^{\infty}(P)$  is given by smooth  $A_{\alpha} : U_{\alpha} \to \Lambda^1 T^* U_{\alpha} \otimes \mathfrak{g}$  satisfying the gluing relations

$$A_{\beta} = g_{\alpha\beta}^{-1} dg_{\alpha\beta} + g_{\alpha\beta}^{-1} A_{\alpha} g_{\alpha\beta} \qquad \text{in } U_{\alpha} \cap U_{\beta}. \tag{3}$$

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New transition functions:

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#### **Curvature and Yang-Mills energy**

#### Curvature of a connection

 $F_{A_{\alpha}}$ :  $U_{\alpha} \rightarrow \Lambda^2 T^* U_{\alpha} \otimes \mathfrak{g}$  are the local expressions of the *curvature* of A, given by

$$F_{A_{\alpha}} = dA_{\alpha} + A_{\alpha} \wedge A_{\alpha} = dA_{\alpha} + \frac{1}{2} [A_{\alpha}, A_{\alpha}] \quad \text{in } U_{\alpha},$$
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where

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The invariance of the *Killing scalar product* together with (6) implies that the norm  $|F_A|$  is gauge invariant and so is *YM*.

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# Yang-Mills fields

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- Weak/smooth solutions: Weak/smooth Yang-Mills fields;
- Intermediate notion: Stationary Yang-Mills fields;
- Special solutions: ASD or Ω-ASD instantons (More on bonus slide).

#### The rainbow in the horizon

**Yang-Mills Plateau problem for**  $n \ge 5$ :

**Existence of minimizer** for 
$$m := \inf \left\{ YM(A) = \int_{M^n} |F_A|^2 : \iota_{\partial M^n}^* A = \eta \right\}.$$

Regularity of minimizers and more generally, for stationary connections.

Tian's regularity conjecture for stationary Yang-Mills fields [19]

If A is a stationary YM field with  $YM(A) < \infty$ , then there exists a closed subset  $\Sigma$  with  $\mathcal{H}^{n-5}(\Sigma \cap K) < \infty$  for any  $K \subset M^n$  such that in some gauge, A is smooth in  $M^n \setminus \Sigma$ .

#### **Stationary Yang-Mills fields**

A critical point A of YM on  $B_1^n(0) \times G$  is **stationary** if for all vector fields  $X \in C_0^\infty(B_1^n(0); \mathbb{R}^n)$ , the flow  $\phi_t$  of  $\chi$  satisfies  $\left. \frac{d}{dt} \int_{B_1^n(0)} |\phi_t^* F_A|^2 \right|_{t=0} = 0.$ 

#### Weak compactness for YM energy

 $\{(P^{\nu}, A^{\nu})\}_{\nu \geq 1}$  with  $YM(A^{\nu})$  is **uniformly bounded**. We want a limiting bundle with a connection  $(P^{\infty}, A^{\infty})$  such that  $YM(A^{\infty}) \leq \liminf YM(A^{\nu})$ .

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 Gaffney inequality – controlling ||dA||<sub>L</sub>, and ||d\*A||<sub>L</sub>, controls ||∇A||<sub>L</sub>.

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Uhlenbeck solved **both problems** for n = 4 under the assumption of small energy.

Theorem (Coulomb gauges in the critical dimension, Uhlenbeck '82 [20] )

There exists  $\varepsilon_{Uh} = \varepsilon_{Uh}(G) > 0$ , such that if  $A \in W^{1,2}(B_R^4 \times G)$  and  $\|F_A\|_{L^2(B_R^4; \Lambda^2 \mathbb{R}^4 \otimes \mathfrak{g})} < \varepsilon_{Uh}$ , then there exists  $\rho \in W^{2,2}(B_R^4; G)$  such that

 $d^*A^{\rho} = 0 \quad \text{in } B^4_R, \qquad \qquad \iota^*_{\partial B^4_{\rho}}(*A^{\rho}) = 0 \quad \text{on } \partial B^4_R.$ 

and  $C_{Coulomb} \ge 1$  such that we have the scale-invariant estimate

$$\|\nabla A^{\rho}\|_{L^{2}\left(B^{4}_{\mathcal{R}}:\mathbb{R}^{n\times n}\otimes\mathfrak{g}\right)} + \|A^{\rho}\|_{L^{4}\left(B^{4}_{\mathcal{R}}:\Lambda^{1}\mathbb{R}^{4}\otimes\mathfrak{g}\right)} \leq C_{Coulomb} \|F_{A}\|_{L^{2}\left(B^{4}_{\mathcal{R}}:\Lambda^{2}\mathbb{R}^{4}\otimes\mathfrak{g}\right)}.$$

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# Theorem (Coulomb gauges in the critical dimension, Uhlenbeck '82 [20] )

There exists  $\varepsilon_{Uh} = \varepsilon_{Uh}(G) > 0$ , such that if  $A \in W^{1,2}(B_R^4 \times G)$  and  $\|F_A\|_{L^2(B_R^4; \Lambda^2 \mathbb{R}^4 \otimes \mathfrak{g})} < \varepsilon_{Uh}$ , then there exists  $\rho \in W^{2,2}(B_R^4; G)$  such that

$$d^*A^{\rho} = 0 \quad \text{in } B^4_R, \qquad \qquad \iota^*_{\partial B^4_R}(*A^{\rho}) = 0 \quad \text{on } \partial B^4_R.$$

and  $C_{Coulomb} \geq 1$  such that we have the scale-invariant estimate

$$\|\nabla A^{\rho}\|_{L^{2}\left(B^{4}_{R};\mathbb{R}^{n\times n}\otimes\mathfrak{g}\right)} + \|A^{\rho}\|_{L^{4}\left(B^{4}_{R};\Lambda^{1}\mathbb{R}^{4}\otimes\mathfrak{g}\right)} \leq C_{Coulomb} \|F_{A}\|_{L^{2}\left(B^{4}_{R};\Lambda^{2}\mathbb{R}^{4}\otimes\mathfrak{g}\right)}.$$

The gauge changes are only  $W^{2,2}$  and need not be continuous. For sequences of **smooth YM fields** on a smooth bundle P with uniformly bopunded YM energy, we can extract weak limiting connection  $A^{\infty}$ , but **bubbling** occurs , i.e. **energy can concentrate** on a finite discrete set (Sedlacek [14]). Using Uhlenbeck's **removable singularity** result, there is a smooth **limiting bundle**  $P^{\infty}$ , but  $P^{\infty}$  can be topologically different. (Freed-Uhlenbeck [4], Taubes [18], Lawson [8]).

#### Does topology need continuity?

**Brouwer Degree** 

 $u: S^n \rightarrow S^n$  is continuous. How 'many' such 'distinct' maps are there?
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#### Degree p = n and beyond (Schoen-Uhlenbeck [13], Brezis-Nirenberg [2])

- $W^{1,n}$  maps have a degree. More generally,
- VMO maps have a degree.  $u \in \text{VMO} \approx \lim_{r \to 0} \eta_u(r) = 0$ , where

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Both results are proved by approximation by smooth maps.

## Sobolev maps between manifolds

 $M^n, N^m$  smooth, Riemannian,  $N^m$  cpt with  $\partial N^m = \emptyset$ ,  $N^m \hookrightarrow \mathbb{R}^l$  iso. (Nash).

 $u \in W^{1,p}(M^{n}; N^{m}) := \left\{ u \in W^{1,p}(M^{n}; \mathbb{R}^{l}), u(x) \in N^{m} \text{ for a.e. } x \in M^{n} \right\}.$  (7)

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- Subcritical p > n, Yes. Continuity is important. Sobolev-Morrey embedding
- Critical p = n, Still yes! Schoen-Uhlenbeck [13]. Harmonic maps.
- Supercritical p < n, No!. Yes iff  $\pi_{|p|}(N^m) = 0$ . Bethuel [1], Hang-Lin [5].

# Approximation questions in gauge theory context

#### Questions

- Is there an analogue of approximation result of  $W^{1,n}$  maps in our context?
- Is there an analogue of approximation result of VMO maps in our context?
- Can we define a notion of bundle topology in those settings?
- What could be the analogue of the result in the supercritical case?

# Results

- The answer to all except the last one is **Yes**.
- Sobolev case: The first one corresponds to approximation of W<sup>1,4</sup> bundles with U<sup>1,4</sup> connections ( locally A ∈ L<sup>4</sup>, dA ∈ L<sup>2</sup> ).
- Vanishing Morrey-Sobolev case: The second one corresponds to approximation of  $W^{1,\mathrm{VL}^{4,n-4}}$  bundles with  $\mathrm{V}\mathcal{U}^{1,\mathrm{L}^{4,n-4}}$  connections ( locally  $A \in \mathrm{VL}^{4,n-4}$ ,  $dA \in \mathrm{VL}^{2,n-4}$  ).
- The last one is not fully settled yet, but work in progress.

#### Monotonicity and Morrey norms

# Theorem (Monotonicity formula, Price '83, [11])

Let A be a stationary YM connection on the trivial bundle  $B_1^n(0) \times G$ , then for any x, r such that  $B_r^n(x) \subset \subset B_1^n(0)$ , we have

$$\frac{d}{dr}\left(\frac{1}{r^{n-4}}\int_{B_r^n(x)}\left|F_A\right|^2\right)\geq 0.$$

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#### **Morrey spaces**

$$\mathsf{For} \ \mathsf{0} \leq \lambda < \mathsf{n}, \ u \in \mathrm{L}^{\mathsf{p},\lambda}\left(B_1^{\mathsf{n}}\right) \quad \approx \quad \|u\|_{\mathrm{L}^{\mathsf{p},\lambda}}^{\mathsf{p}} := \sup_{x,r} \frac{1}{r^\lambda} \int_{B_r^{\mathsf{n}}(x)} |u|^{\mathsf{p}} < \infty.$$

Morrey-Sobolev spaces:  $u \in W^{1,L^{p,\lambda}} \approx u \in L^{p,\lambda}, \nabla u \in L^{p,\lambda}$ .

## Sobolev embeddings in Morrey-Sobolev spaces

# **Theorem (Adams)**

 $\Omega \subset \mathbb{R}^n$  bounded, open, smooth,  $1 and <math>0 \le \lambda < n - p$ , then we have,

$$u \in W^{1,L^{
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• For  $\lambda = n - 4$ , the integrability exponent is 4p/(4-p), i.e.  $p^*$  in dimension 4.

#### Vanishing Morrey spaces

 $u \in \mathrm{VL}^{p,\lambda}\left(B_{1}^{n}
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For λ = 0, VL<sup>p,λ</sup> = L<sup>p,λ</sup> = L<sup>p</sup>. For λ > 0, VL<sup>p,λ</sup> ⊊ L<sup>p,λ</sup> and smooth functions are strongly dense in VL<sup>p,λ</sup>, but not in L<sup>p,λ</sup>.
 u ∈ W<sup>1,VL<sup>p,λ</sup></sup> ≈ u ∈ L<sup>p,λ</sup>, ∇u ∈ VL<sup>p,λ</sup>.

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$$P = \left( \left\{ U_{\alpha} \right\}_{\alpha \in I}, \left\{ g_{\alpha\beta} \right\}_{\alpha,\beta \in I} \right) \in \mathrm{V}\mathcal{P}_{G}^{1,\mathrm{L}^{4,n-4}} \text{ if } g_{\alpha\beta} \in \mathcal{W}^{1,\mathrm{VL}^{4,n-4}} \left( U_{\alpha} \cap U_{\beta}; G \right)$$

for all  $\alpha, \beta \in I$  with nonempty intersection and satisfies the cocycle condition

$$g_{\alpha\beta}(x)g_{\beta\gamma}(x) = g_{\alpha\gamma}(x)$$
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#### **Possible Strategy**

Approximate  $g_{\alpha\beta}$ . Poincaré inequality and Hölder inequality imply

$$\frac{1}{\rho^n}\int_{B(x,\rho)}\left|u-(u)_{B(x,\rho)}\right|\leq \frac{1}{\rho^{n-1}}\int_{B(x,\rho)}\left|\nabla u\right|\leq \left(\frac{1}{\rho^{n-4}}\int_{B(x,\rho)}\left|\nabla u\right|^4\right)^{\frac{1}{4}}.$$

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So  $u \in W^{1, \mathrm{VL}^{4, n-4}}(\Omega) \Rightarrow u \in \mathrm{VMO}(\Omega)$ . Difficulty: cocycle conditions (8).

## Use connections and Coulomb gauges

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Theorem (Vanishing Morrey bundles with connections, S. '20, S. '19 [16]) Given any  $P \in V\mathcal{P}_{G}^{1,L^{4,n-4}}(M^{n})$  and  $A \in V\mathcal{U}^{1,L^{4,n-4}}(P)$ , there is a sequence of smooth principal G-bundles  $P^{\nu} \in \mathcal{P}_{G}^{\infty}(M^{n})$  with smooth connections  $A^{\nu} \in \mathcal{A}^{\infty}(P^{\nu})$  such that  $P^{\nu} \stackrel{W^{1,L^{4,n-4}}}{\simeq_{\rho^{\nu}}} P$  and for all i,  $g_{ij}^{\nu} \to g_{ij}$  in  $W^{1,L^{4,n-4}}$  and  $A_{i}^{\nu} - (\rho_{i}^{\nu})^{*} A_{i} \to 0$  in  $\mathcal{U}^{1,L^{4,n-4}}$ .

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For n = 4, this reduces to approximation of  $U^{1,4}$  connections on  $W^{1,4}$  bundles. That case is also obtained earlier in Isobe '09 [6] and the Abelian case in Isobe '14 [7], both using approximation results for *G*-valued Sobolev maps.

**Theorem (Approximation in the Abelian case, S. '19 [16], Isobe '14 [7])** Let  $2 . Given <math>P \in \mathcal{P}_{\mathbb{S}^1}^{1,p}(M^n)$  and  $A \in \mathcal{U}^{1,p}(P)$  ( i.e.  $A \in L^p, dA \in L^{\frac{p}{2}}$  ), there exists  $\{(P^{\nu}, A^{\nu})\}_{\nu \ge 1}$ , with  $P^{\nu} \in \mathcal{P}_{\mathbb{S}^1}^{\infty}(M^n)$  and  $A^{\nu} \in \mathcal{A}^{\infty}(P^{\nu})$  such that  $P^{\nu} \stackrel{W^{1,p}}{\simeq}_{\rho^{\nu}} P$  and  $g_{ij}^{\nu} \to g_{ij}$  in  $W^{1,p}$  and  $A_i^{\nu} - (\rho_i^{\nu})^* A_i \to 0$  in  $\mathcal{U}^{1,p}$ .

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In all cases, approximation of bundles follows. Pick a partition of unity  $\{\psi_{\alpha}\}_{\alpha}$  subordinate to the cover  $\{U_{\alpha}\}_{\alpha\in I}$  and define

$$A_{\alpha} := \sum_{\substack{\beta \in I, \beta \neq \alpha, \\ U_{\alpha} \cap U_{\beta} \neq \emptyset}} \psi_{\beta} g_{\beta \alpha}^{-1} dg_{\beta \alpha} \qquad \text{for each } \alpha \in I.$$

But does not exclude the possibility to have  $C^{0}$ -distinct sequences of smooth bundles  $\{P_{1}^{\nu}\}_{\nu\geq 1}, \{P_{2}^{\nu}\}_{\nu\geq 1},$  both approximating P.  $(P_{1}^{\nu}, A_{1}^{\nu}) \rightarrow (P, A_{1})$  and  $(P_{2}^{\nu}, A_{2}^{\nu}) \rightarrow (P, A_{2}).$ 

# Approximation result

# Main steps of the proof

- Given (P, A), pass to local Coulomb gauges for A and construct the Coulomb bundles and connection (P<sub>ACoulomb</sub>, A<sub>Coulomb</sub>) which is W<sup>1,VL<sup>4,n-4</sup></sup> gauge equivalent to (P, A).
- Show that  $P_{A_{Coulomb}}$  is actually a  $W^{2,q} \cap C^{0,\alpha}$ -bundle for any  $\frac{n}{2} < q < n$  and  $\alpha < 1$ . (This is the key point).
- O Approximate P<sub>A<sub>Coulomb</sub></sub> by smooth bundles P<sup>ν</sup>, which are W<sup>1,VL<sup>4,n-4</sup></sup> ( in fact C<sup>0</sup> ) gauge equivalent ( Much cleaner due to the improved regularity).
- Pull back A on P<sup>ν</sup>. The pullback is now a vanishing Morrey-Sobolev connection on a *smooth* bundle.
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## Remark on Isobe's proof

Since  $P \in W^{1,n}$ , approximating P directly is messier than Step 3 above. In the Abelian case, needs Bethuel's result and the fact that  $\pi_i(\mathbb{S}^1) = 0$  for all  $i \ge 2$ .

# Local Coulomb gauges in Morrey-Sobolev setting

Theorem (Coulomb gauges, Meyer-Rivière '03 [9], Tao-Tian '04 [17])

There exists  $\varepsilon_{Uh} = \varepsilon_{Uh}(n, G) > 0$ , such that if  $A \in VU^{1, L^{4, n-4}}(B^n_R \times G)$  and  $\|F_A\|_{L^{2, n-4}(B^n_R; \Lambda^2 \mathbb{R}^n \otimes \mathfrak{g})} < \varepsilon_{Uh}$ , then there exists  $\rho \in W^{1, VL^{4, n-4}}(B^n_R; G)$  such that

$$\begin{cases} d^* A^{\rho} = 0 & \text{in } B^n_R, \\ \iota^*_{\partial B^n_R} (*A^{\rho}) = 0 & \text{on } \partial B^n_R \end{cases}$$

and  $C_{Coulomb} \geq 1$  and we have the scale-invariant estimate

$$\left\|\nabla A^{\rho}\right\|_{\mathrm{L}^{2,n-4}}+\left\|A^{\rho}\right\|_{\mathrm{L}^{4,n-4}}\leq \mathit{C_{Coulomb}}\left\|\mathit{F_{A}}\right\|_{\mathrm{L}^{2,n-4}}.$$

Meyer-Rivière [9] and Tao-Tian [17] proved this result for **smooth** connections, which holds for  $V\mathcal{U}^{1,L^{4,n-4}}$  connections by density in vanishing Morrey-Sobolev spaces.

## **Regularity of Coulomb bundles**

# Theorem (Improved regularity of Coulomb bundles, S.'20)

Let  $P \in V\mathcal{P}_{G}^{1,L^{4,n-4}}(M^{n})$  and  $A \in V\mathcal{U}^{1,L^{4,n-4}}(P)$  be a connection on P which is Coulomb, then P is a  $W^{2,q} \cap C^{0,\alpha}$ -bundle for any  $\frac{n}{2} < q < n$  and  $\alpha < 1$ .

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- This is in a sense quite striking. Both the bundle we started with and the Coulomb gauges have only Morrey-Sobolev regularity and need not even be continuous. But the Coulomb bundle is much more regular just by virtue of the fact that the connection forms satisfies the Coulomb conditions.
- For n = 4, this reduces to the critical dimension case.
- Previous results for n = 4: Rivière '02 [12], Shevchishin '02 [15].

#### Ingredients for the result

Lemma (Elliptic estimate in Morrey-Sobolev setting, S. '20)

 $\begin{array}{l} n \geq 3, N \geq 1 \text{ integers, } 1 < m \leq \frac{n}{2} \text{ and } m < p < 2m, \ \Omega \subset \mathbb{R}^n \text{ bounded, open. Let} \\ B \in \mathrm{L}^{2m,n-2m}\left(\Omega; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{M}(N)\right) \text{ and } f \in \mathrm{L}^{p,n-2m}\left(\Omega; \mathbb{R}^N\right). \text{ There exists } \varepsilon_{\Delta_{Cr}} \\ \text{such that if } \|B\|_{\mathrm{L}^{2m,n-2m}(\Omega; \Lambda^1 \mathbb{R}^n \otimes \mathfrak{M}(N))} \leq \varepsilon_{\Delta_{Cr}} \text{ and } u \in W^{1,\mathrm{L}^{2,n-2m}}\left(\Omega; \mathbb{R}^N\right) \text{ solves} \end{array}$ 

$$\Delta u = B \cdot \nabla u + f \qquad \text{in } \Omega, \tag{9}$$

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Shrink the sets to get  $L^{2m,n-2m}$  norms small enough.

Definition of topology, S. '20, also S '19 [16]

Given  $P \in V\mathcal{P}_{G}^{1,L^{4,n-4}}(M^{n})$  and  $A \in V\mathcal{U}^{1,L^{4,n-4}}(P)$ , one can associate **the**  $C^{0}$  equivalence class of 'the' associated Coulomb bundle to the **pair** (P, A), which is stable under  $W^{1,VL^{4,n-4}}$  gauge transformations.

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• Our 'topology' is encoded in the pair (*P*, *A*), **not** to *P* alone! Stability is also **only** under gauge transformation of **both**!

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- Earlier attempts by Isobe '09 [6] and Shevchishin '02 [15] in the critical dimension are very different and associates an  $C^0$  class to P alone.
- Since we need to change gauges to obtain estimate for the connections, in a sense the connection can 'drag' the bundle along with it. Our class can keep a track of what the connections are doing.

#### Naturality of the topological class

As an illustration, we improve Theorem IV.2., Rivière '02 [12]).

#### Theorem (Stability of topology w/o concentration, S. '19 [16])

$$\begin{split} & P^{\nu} \in \mathcal{P}_{G}^{1,4}\left(M^{4}\right), \, A^{\nu} \in \mathcal{U}^{1,4}\left(P^{\nu}\right) \text{ for } \nu \geq 1 \text{ be sequences of bundles with } \\ & \text{connections trivialized over a common cover such that } YM\left(A^{\nu}\right) \text{ is uniformly} \\ & \text{bounded and } \left\{|F_{A^{\nu}}|^{2}\right\}_{\nu \geq 1} \text{ is equiintegrable in } M^{n}. \text{ Then there exists} \\ & P^{\infty} \in \mathcal{P}^{1,4} \cap \mathcal{P}^{0}\left(M^{4}\right), \, A^{\infty} \in W^{1,2}\left(P^{\infty}\right) \text{ and a subsequence } \left\{A^{\nu_{s}}\right\}_{s \geq 1} \text{ such that} \\ & \text{for large enough s, we have } \left[P_{A^{\nu_{s}}_{Coulomb}}^{\nu_{s}}\right]_{C^{0}} = \left[P^{\infty}\right]_{C^{0}} \text{ and for every } i \in I, \\ & \left(A^{\nu_{s}}_{Coulomb}\right)_{i} \rightharpoonup A^{\infty}_{i} \qquad \text{weakly in } W^{1,2}\left(U^{\infty}_{i}; \Lambda^{1}T^{*}U^{\infty}_{i} \otimes \mathfrak{g}\right), \end{split}$$

$$F_{A_i^{\nu_s}} \rightharpoonup F_{A_i^{\infty}} \qquad \text{weakly in } L^2\left(U_i^{\infty}; \Lambda^2 T^* U_i^{\infty} \otimes \mathfrak{g}\right).$$

If  $P^{\nu} = P \in \mathcal{P}^{\infty}_{G}\left(M^{4}\right)$  and  $A^{\nu} \in \mathcal{A}^{\infty}(P)$  for all  $\nu$ , then  $[P]_{C^{0}} = [P^{\infty}]_{C^{0}}$ .

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#### Naturality of the topological class

## Theorem (Flatness criterion, S '19 [16])

For any cover  $\mathcal{U}$  of  $M^4$ , there exists a constant  $\delta > 0$ , depending only on  $\mathcal{U}$ ,  $M^4$  and G such that if P is a  $W^{1,4}$  bundle trivialized over  $\mathcal{U}$  and A is a  $\mathcal{U}^{1,4}$  connection on P, then

either 
$$YM(A) > \delta$$
 or  $[P_{A_{Coulomb}}]_{C^0} = [P^0]_{C^0}$ ,

where  $P^0$  is a flat  $C^0$  bundle. If  $M^4$  is simply connected,  $P^0 = M^4 \times G$ .

#### Proof.

If not, then there exist sequences  $P^{\nu} \in \mathcal{P}_{G}^{1,4}(M^{4})$ ,  $A^{\nu} \in \mathcal{U}^{1,4}(P^{\nu})$  for  $\nu \geq 1$  trivialized over  $\mathcal{U}$  such that  $P_{A^{\nu}_{Coulomb}}^{\nu}$  is not  $C^{0}$  equivalent to any flat bundle for any  $\nu \geq 1$  and  $YM(A^{\nu}) \to 0$ . But then  $P^{\infty}$  is flat and this contradicts the stability.

This is the usual YM energy gap for smooth connections on smooth bundles.

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Bethuel's 'almost' smooth maps

$$\mathcal{R}^{p,\infty}\left(M^{n};N^{m}\right) := \begin{cases} u \in W^{1,p}\left(M^{n};N^{m}\right) : u \in C^{\infty}_{loc}\left(M^{n} \setminus \Sigma;N^{m}\right), \Sigma \text{ is} \\ \text{a finite union of } (n - \lfloor p \rfloor - 1) \text{-dimensional submanifolds.} \end{cases}$$

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In terms of local pictures around the singular set, restriction of such maps to the sphere  $\mathbb{S}^{\lfloor p \rfloor} \subset \mathbb{R}^{\lfloor p \rfloor+1}$  in the plane transversal to  $\Sigma$ , can realize nontrivial homotopy classes  $v : \mathbb{S}^{\lfloor p \rfloor} \to N^m$ .

'Almost' smooth bundles of Petrache-Rivière [10]

$$\mathcal{R}^{\infty}\left(M^{n}, \mathrm{SU}(2)\right) := \begin{cases} \left(P, A\right) : A \in \mathcal{A}^{\infty}\left(P\right), P \in \mathcal{P}^{\infty}_{\mathrm{SU}(2)}\left(M^{n} \setminus \Sigma\right) \text{ where } \Sigma \text{ is } \\ \text{ a finite union of } (n-5)\text{-dimensional submanifolds.} \end{cases}$$

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Locally, restriction of the bundle to the sphere  $\mathbb{S}^4 \subset \mathbb{R}^5$  in the 5-plane transversal to  $\Sigma$ , can have nontrivial second Chern class  $c_2$ .

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$$\int_{\mathbb{S}^4} \operatorname{Tr} \left( F_A \wedge F_A \right) = \int_{B_1^5} d \operatorname{Tr} \left( F_A \wedge F_A \right) = \int_{B_1^5} d \left[ d \operatorname{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right) \right] = 0.$$

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In general,  $d \operatorname{Tr} (F_A \wedge F_A) = \sum n_i \delta_{x_i}$  in  $\mathcal{D}' (B_1^5)$ , is possible.

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In general,  $d \operatorname{Tr} (F_A \wedge F_A) = \sum n_i \delta_{x_i}$  in  $\mathcal{D}^{'} (B_1^5)$ , is possible.

Conjecture (ongoing work with Mircea Petrache and Tristan Rivière)  $\mathcal{R}^{\infty}$  is strongly dense in  $\mathcal{P}^{1,L^{4,n-4}}_{SU(2)} \times \mathcal{U}^{1,L^{4,n-4}}$ .

#### Preprints and planned works

- The preprint for the article on critical dimension can be found in arXiv [16].
- Supercritical dimension results should appear in arXiv soon, either as a separate article by me, or as part of an article coauthored with Mircea Petrache (PUC Chile) and Tristan Rivière (ETH Zurich), which is still a work in progress.

#### Acknowledgement

I warmly thank to Tristan Rivière of ETH Zurich for numerous discussions, encouragement and support. He introduced me to this subject. The influence of my discussions with him on these works can not be overstated.

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### Self-duality and Yang-Mills fields [3], [19]

A connection form A on an SU(r) principal bundle over  $(M^n, g)$  is  $\Omega$ -ASD instanton for some closed (n - 4)-form  $\Omega$  on  $M^n$  if

$$*_{g} F_{A} = -\Omega \wedge F_{A}. \tag{10}$$

- For  $\Omega$ -ASD instantons, the Bianchi identity implies the YM equation.
- $(M^4,g)$  Riem.,  $\Omega \equiv 1$ . Then (10)  $\Leftrightarrow$  ASD instanton.
- $(M^{2m},g)$  Kähler,  $\Omega = \frac{1}{(m-2)!} \omega_g^{m-2}$ . Then (10)  $\Leftrightarrow$  Hermitian-YM equation.
- $(M^8, g)$  is a Calabi-Yau 4-fold,  $\theta$  is holomorphic (4,0) form with  $\theta \wedge \overline{\theta} = \frac{1}{4!}\omega_g^4$ . Take  $\Omega = 4 \operatorname{Re}(\theta) + \frac{1}{2}\omega_g^2$ . Then (10)  $\Leftrightarrow$  SU(4)-instanton equation.
- $(M^8, g)$  is a Spin(7) manifold. There is a parallel 4-form  $\Omega$ , left invariant by the action of Spin(7) such that (10) becomes Spin(7)-instanton equation.
- $(M^7, g)$  is a  $G_2$  manifold. There is a parallel 3-form  $\Omega$  left invariant by the action of  $G_2$  such that (10) is called  $G_2$ -instanton equation.